

NICHOLAS APPELGATE CONVERTIBLE & INCOME FUND

Form NSAR-B

April 27, 2007

PAGE 1  
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008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
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008 A000002 NICHOLAS-APPELGATE CAPITAL MANAGEMENT LLC  
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010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
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011 A000001 UBS SECURITIES LLC  
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011 C010001 STAMFORD  
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011 A000002 PRUDENTIAL SECURITIES, INC.  
011 B000002 8-04060  
011 C010002 NEW YORK

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011 C020002 NY  
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011 A000003 H&R BLOCK FINANCIAL ADVISORS, INC.  
011 B000003 8-16752  
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011 A000004 WACHOVIA CAPITAL MARKETS, LLC  
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011 C010004 CHARLOTTE  
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011 A000005 LEGG MASON WOOD WALKER, INC.  
011 B000005 8-15939  
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011 C030005 21202  
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011 A000006 RBC DAIN RAUSCHER, INC.  
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011 C010006 MINNEAPOLIS  
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011 A000007 WELLS FARGO SECURITIES, LLC  
011 B000007 8-35225  
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011 A000008 ADVEST, INC.  
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012 A000001 PFPC INC.  
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012 C010001 PROVIDENCE  
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013 A000001 PRICEWATERHOUSECOOPERS LLP  
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014 A000001 DRESDNER BANK AG  
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014 A000002 DRESDNER KLEINWORT WASSERSTEIN SECURITIES LLC  
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014 A000005 NICHOLAS-APPLGATE CAPITAL MANAGEMENT  
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015 A000001 BROWN BROTHERS HARRIMAN & CO.  
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020 A000002 MERRILL LYNCH & CO., INC.  
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020 A000003 BANK OF AMERICA CORP.  
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020 A000005 GOLDMAN SACHS GROUP, INC.  
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020 B000006 13-3286161  
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020 A000007 CITIGROUP, INC.  
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022 A000008 MORGAN STANLEY  
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TITLE TREASURER & CEO