

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

SWISS HELVETIA FUND, INC.

Form NSAR-A

August 26, 2013

PAGE 1  
000 A000000 06/30/2013  
000 C000000 0000813623  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 The Swiss Helvetia Fund, Inc.  
001 B000000 811-05128  
001 C000000 8887947700  
002 A000000 1270 AVENUE OF THE AMERICAS SUITE 400  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10020  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
008 A000001 HOTTINGER CAPITAL CORP.  
008 B000001 A  
008 C000001 801-30025  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10020  
010 A000001 CITI FUND SERVICES OHIO, INC.  
010 B000001 085-11408  
010 C010001 COLUMBUS  
010 C020001 OH  
010 C030001 43219  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY  
012 B000001 84-00416  
012 C010001 NEW YORK  
012 C020001 NY  
012 C030001 10038  
013 A000001 DELOITTE & TOUCHE LLP  
013 B010001 PHILADELPHIA  
013 B020001 PA  
013 B030001 19103  
014 A000001 GROUPE BANQUE HOTTINGER & CIE SA  
014 B000001 8-062959  
015 A000001 CITIBANK, N.A.  
015 B000001 S  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10043  
015 E040001 X  
018 000000 Y  
PAGE 2  
019 A000000 N  
019 B000000 0  
020 A000001 Sanford Bernstein & Co  
020 B000001 13-3625874  
020 C000001 79  
020 A000002 Merrill Lynch

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

020 B000002 13-3180818  
 020 C000002 66  
 020 A000003 Vontobel  
 020 B000003 13-4350122  
 020 C000003 66  
 020 A000004 Bank am Bellevue  
 020 B000004 0  
 020 C000004 65  
 020 A000005 Bryan garnier Securities LLC  
 020 B000005 90-0519662  
 020 C000005 63  
 020 A000006 Mainfirst Bank  
 020 B000006 42-1768153  
 020 C000006 60  
 020 A000007 Ca Cheuvreux  
 020 B000007 0  
 020 C000007 43  
 020 A000008 JP Morgan Securities  
 020 B000008 04-1867445  
 020 C000008 35  
 020 A000009 Helvea  
 020 B000009 98-0511107  
 020 C000009 34  
 020 A000010 Hottinger  
 020 B000010 0  
 020 C000010 33  
 021 000000 641  
 022 A000001 Zurmont Madison Private Equity LP  
 022 B000001 0  
 022 C000001 727  
 022 D000001 0  
 022 A000002 Aravis Biotech II  
 022 C000002 173  
 022 D000002 0  
 022 A000003 SelFrag AG  
 022 C000003 82  
 022 D000003 0  
 023 C000000 2033  
 023 D000000 0  
 024 000000 N  
 026 A000000 N  
 026 B000000 Y  
 026 C000000 N  
 026 D000000 Y  
 026 E000000 N  
 PAGE 3  
 026 F000000 N  
 026 G010000 N  
 026 G020000 N  
 026 H000000 N  
 027 000000 N  
 045 000000 Y  
 046 000000 N  
 047 000000 Y  
 048 000000 0.000  
 048 A010000 60000  
 048 A020000 1.000  
 048 B010000 40000  
 048 B020000 0.900  
 048 C010000 100000  
 048 C020000 0.800  
 048 D010000 100000

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

048 D020000 0.700  
 048 E010000 100000  
 048 E020000 0.650  
 048 F010000 100000  
 048 F020000 0.600  
 048 G010000 100000  
 048 G020000 0.550  
 048 H010000 200000  
 048 H020000 0.500  
 048 I010000 0  
 048 I020000 0.000  
 048 J010000 0  
 048 J020000 0.000  
 048 K010000 800000  
 048 K020000 0.450  
 049 000000 N  
 050 000000 N  
 051 000000 N  
 052 000000 N  
 053 A000000 N  
 054 A000000 Y  
 054 B000000 N  
 054 C000000 N  
 054 D000000 N  
 054 E000000 N  
 054 F000000 N  
 054 G000000 N  
 054 H000000 Y  
 054 I000000 N  
 054 J000000 Y  
 054 K000000 N  
 054 L000000 N  
 054 M000000 Y  
 054 N000000 N  
 054 O000000 N  
 PAGE 4  
 055 A000000 N  
 055 B000000 N  
 056 000000 N  
 057 000000 N  
 058 A000000 N  
 059 000000 Y  
 060 A000000 N  
 060 B000000 N  
 061 000000 0  
 062 A000000 N  
 062 B000000 0.0  
 062 C000000 0.0  
 062 D000000 0.0  
 062 E000000 0.0  
 062 F000000 0.0  
 062 G000000 0.0  
 062 H000000 0.0  
 062 I000000 0.0  
 062 J000000 0.0  
 062 K000000 0.0  
 062 L000000 0.0  
 062 M000000 0.0  
 062 N000000 0.0  
 062 O000000 0.0  
 062 P000000 0.0  
 062 Q000000 0.0

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

062	R000000	0.0
066	A000000	Y
066	B000000	N
066	C000000	Y
066	D000000	N
066	E000000	N
066	F000000	N
066	G000000	N
067	000000	N
068	A000000	N
068	B000000	Y
069	000000	N
070	A010000	Y
070	A020000	N
070	B010000	Y
070	B020000	N
070	C010000	Y
070	C020000	N
070	D010000	Y
070	D020000	N
070	E010000	N
070	E020000	N
070	F010000	N
070	F020000	N
070	G010000	N
	PAGE	5
070	G020000	N
070	H010000	N
070	H020000	N
070	I010000	N
070	I020000	N
070	J010000	Y
070	J020000	Y
070	K010000	Y
070	K020000	Y
070	L010000	Y
070	L020000	Y
070	M010000	Y
070	M020000	Y
070	N010000	Y
070	N020000	N
070	O010000	Y
070	O020000	N
070	P010000	N
070	P020000	N
070	Q010000	N
070	Q020000	N
070	R010000	N
070	R020000	N
071	A000000	158149
071	B000000	167419
071	C000000	429192
071	D000000	37
072	A000000	6
072	B000000	0
072	C000000	8257
072	D000000	0
072	E000000	0
072	F000000	1659
072	G000000	151
072	H000000	0
072	I000000	13

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

072 J000000	44
072 K000000	0
072 L000000	43
072 M000000	401
072 N000000	0
072 O000000	0
072 P000000	0
072 Q000000	55
072 R000000	36
072 S000000	195
072 T000000	0
072 U000000	0
072 V000000	0
072 W000000	265
072 X000000	2862

PAGE 6

072 Y000000	0
072 Z000000	5395
072AA000000	26969
072BB000000	0
072CC010000	8885
072CC020000	0
072DD010000	0
072DD020000	0
072EE000000	0
073 A010000	0.0000
073 A020000	0.0000
073 B000000	0.0000
073 C000000	0.0000
074 A000000	948
074 B000000	0
074 C000000	0
074 D000000	0
074 E000000	6540
074 F000000	405976
074 G000000	0
074 H000000	0
074 I000000	15105
074 J000000	1437
074 K000000	0
074 L000000	1328
074 M000000	9349
074 N000000	440683
074 O000000	124
074 P000000	417
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	372
074 S000000	0
074 T000000	439770
074 U010000	30672
074 U020000	0
074 V010000	14.34
074 V020000	0.00
074 W000000	0.0000
074 X000000	521
074 Y000000	0
075 A000000	0
075 B000000	436287
076 000000	12.32

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

077 A000000 Y  
077 B000000 N  
077 C000000 Y  
077 D000000 N  
077 E000000 N

PAGE 7

077 F000000 N  
077 G000000 N  
077 H000000 N  
077 I000000 N  
077 J000000 N  
077 K000000 N  
077 L000000 N  
077 M000000 N  
077 N000000 N  
077 O000000 N  
077 P000000 N  
077 Q010000 N  
077 Q020000 N  
077 Q030000 N

078 000000 N

080 C000000 0

081 B000000 0

082 B000000 0

083 B000000 0

084 B000000 0

086 A010000 0

086 A020000 0

086 B010000 287

086 B020000 3758

086 C010000 0

086 C020000 0

086 D010000 0

086 D020000 0

086 E010000 0

086 E020000 0

086 F010000 0

086 F020000 0

087 A010000 THE SWISS HELVETIA FUND, INC.

087 A020000 870875101

087 A030000 SWZ

088 A000000 N

088 B000000 N

088 C000000 N

088 D000000 N

SIGNATURE SCOTT RHODES

TITLE ASSISTANT TREASURER