Edgar Filing: FLEMING RICHARD H - Form 4

| FLEMING F | RICHARD H | | | | | | | | | | |
|--|-------------------------------------|---------------------|---|--|----------------|---------|-------------|--|---|---|--|
| Form 4 | | | | | | | | | | | |
| July 25, 201 | 8 | | | | | | | | | | |
| FORM | 14 | | | | | | NODO | | OMB AF | PROVAL | |
| | UNITE | D STATES | | RITIES A shington, | | | NGE C | COMMISSION | OMB Number: | 3235-0287 | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 Eiled pursuant to S | | | | SECUR | ITIES | | | Expires: January 3 200 Estimated average burden hours per response 0 | | | |
| obligatio may cont <i>See</i> Instru 1(b). | ns Section 1 | 7(a) of the | Public U | | ling Con | npan | y Act of | e Act of 1934, E 1935 or Sectior 40 | 1 | | |
| (Print or Type I | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> FLEMING RICHARD H | | | 2. Issuer Name and Ticker or Trading Symbol COLUMBUS MCKINNON CORP [CMCO] | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| | | | 3. Date of Earliest Transaction (Month/Day/Year) 07/23/2018 | | | | | X_ Director 10% Owner Officer (give title Other (specify below) below) | | | |
| | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| UE1Z VILL | 2E, INT 14006 | | | | | | | Person | | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of, | or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Da (Month/Day/Yea | r) Execution any | | 3. Transactio Code (Instr. 8) Code V | (Instr. 3, | spose | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | | | | | | | | 47,649.1481 | D | | |
| Common Stock | 07/23/2018 | | | А | 1,403 (1) | A | \$ 42.77 | 49,052.1481 | D | | |
| Common Stock | 07/24/2018 | | | М | 753 <u>(2)</u> | А | \$0 | 49,805.1481 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number of iorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount Underlying Securitie (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|--|----------|--|--------------------|---|--------------------------|
| | Ĵ | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amour Numbe Shares |
| Common Stock | <u>(3)</u> | 07/23/2018 | | A | 1,500 | | (4) | (4) | Common Stock | |
| Restricted Stock Units | <u>(3)</u> | 07/24/2018 | | М | | 753.6298 | (5) | (5) | Common Stock | 1,507 |

Reporting Owners

| | Relationships | | | | | |
|--|---------------|--------------|---------|-------|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | |
| FLEMING RICHARD H 205 CROSSPOINT PARKWAY GETZVILLE, NY 14068 | Х | | | | | |
| | | | | | | |

Signatures

| Mary C. O'Connor, Power of Attorney for Richard H. | 07/24/2018 |
|--|------------|
| Fleming | 0//24/2010 |

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). **
- Represents shares granted to reporting person under the Columbus McKinnon Corporation 2016 Long Term Incentive Plan dated as of (1)July 18, 2016.
- 753.6298 restricted stock units became fully vested on July 24, 2018, of which 753 shares were issued as common stock and .6298 was (2)issued in cash of \$26.37.
- (3) Each restricted stock unit represents a contingent right to receive one (1) share of Columbus McKinnon Corporation common stock.

Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2016 Long Term Incentive Plan (4) dated as of July 18, 2016. Subject to forfeiture, units become fully vested and non-forfeitable 50% on July 23, 2019, 25% on July 23, 2020 and 25% on July 23, 2021 if reporting person remains a director of issuer.

753.6298 restricted stock units vested on July 24, 2018, and the remaining 753.6298 units become fully vested and non-forfeitable 25% (5) on July 24, 2019 and 25% on July 24, 2020, if reporting person remains a director of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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