Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 4

UNITED TECHNOLOGIES CORP /DE/

Form 4

September 20, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Expires:

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, 2005 Estimated average

to

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response...

See Instruction

30(h) of the Investment Company Act of 1940

0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * CHENEVERT LOUIS		ng Person *	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s Issuer		
			UNITED TECHNOLOGIES CORP /DE/ [UTX]	(Check	all applicable)	
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	_X_ Director	10% Owner	

UNITED TECHNOLOGIES

(Street)

09/19/2007

(Month/Day/Year)

_X__ Director 10% Owner X_ Officer (give title Other (specify below)

President and COO

CORPORATION, ONE FINANCIAL PLAZA

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

HARTFORD, CT 06101

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative S	Securi	ties Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities our Dispose (Instr. 3, 4	d of (I))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	09/19/2007		M	100,000	A	\$ 27	187,511	D	
Common Stock	09/19/2007		F	34,221	D	\$ 78.9	153,290	D	
Common Stock	09/20/2007		S	100	D	\$ 78.609	115,309	D	
Common Stock	09/20/2007		S	1,400	D	\$ 78.61	113,909	D	
	09/20/2007		S	1,000	D	\$ 78.62	112,909	D	

Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 4

Common Stock								
Common Stock	09/20/2007	S	1	100	D	\$ 78.628	112,809	D
Common Stock	09/20/2007	S	2	200	D	\$ 78.63	112,609	D
Common Stock	09/20/2007	S	1	100	D	\$ 78.635	112,509	D
Common Stock	09/20/2007	S	1	100	D	\$ 78.638	112,409 <u>(1)</u> <u>(2)</u>	D
Common Stock							2,993.046	I
Common Stock	09/19/2007	F	2	27,281	D	\$ 78.9	126,009	D
Common Stock	09/20/2007	S	1	1,100	D	\$ 78.5	124,909	D
Common Stock	09/20/2007	S	1	1,000	D	\$ 78.51	123,909	D
Common Stock	09/20/2007	S	1	1,200	D	\$ 78.52	122,709	D
Common Stock	09/20/2007	S	1	100	D	\$ 78.525	122,609	D
Common Stock	09/20/2007	S	8	800	D	\$ 78.53	121,809	D
Common Stock	09/20/2007	S	1	100	D	\$ 78.539	121,709	D
Common Stock	09/20/2007	S	1	1,100	D	\$ 78.54	120,609	D
Common Stock	09/20/2007	S	3	300	D	\$ 78.545	120,309	D
Common Stock	09/20/2007	S	1	100	D	\$ 78.547	120,209	D
Common Stock	09/20/2007	S	3	300	D	\$ 78.549	119,909	D
Common Stock	09/20/2007	S	8	800	D	\$ 78.55	119,109	D
Common Stock	09/20/2007	S	1	100	D	\$ 78.555	119,009	D
Common	09/20/2007	S	8	800	D	\$ 78.56	118,209	D

By Savings Plan

Trustee

α.	- 1
Sto	NOV
,) (л.

Common Stock	09/20/2007	S	100	D	\$ 78.565	118,109	D
Common Stock	09/20/2007	S	300	D	\$ 78.57	117,809	D
Common Stock	09/20/2007	S	300	D	\$ 78.58	117,509	D
Common Stock	09/20/2007	S	1,400	D	\$ 78.59	116,109	D
Common Stock	09/20/2007	S	100	D	\$ 78.595	116,009	D
Common Stock	09/20/2007	S	600	D	\$ 78.6	115,409	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code Securities (Month/Day/Year)		te	7. Title and Am Underlying Sec (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	A N Sl
Non-Qualified Stock Option (right to buy)	\$ 27	09/19/2007		M	100,000	01/04/2002	01/03/2009	Common Stock	1

Reporting Owners

Reporting Owner Name / Address	Relationships						
4	Director	10% Owner	Officer	Other			
CHENEVERT LOUIS UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101	X		President and COO				

Reporting Owners 3

Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 4

Signatures

By: /s/ Charles F. Hildebrand as Attorney-in-Fact

09/20/2007

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Form 4 represents one of two Form 4s to be filed today due to the sale of 19,249 shares of United Technologies Corporation common stock on September 20, 2007.
- (2) The reporting person also directly owns 2,400 shares of United Technologies Career Restricted Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4