

Edgar Filing: UNIVERSAL BROADBAND NETWORKS INC - Form 4

UNIVERSAL BROADBAND NETWORKS INC

Form 4

January 09, 2001

[X] Check this box if no longer  
subject to Section 16. Form 4 or  
Form 5 obligations may continue.  
See Instruction 1(b).

-----  
OMB APPROVAL  
-----

OMB Number 3235-0287  
Expires: 12-31-2001  
Estimated average burden  
hours per response ..... 0.5  
-----

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 4

STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person\*

Charles, Richard F.

(Last) (First) (Middle)

2030 Main Street, Suite 550

(Street)

Irvine CA 92614

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

UNIVERSAL BROADBAND NETWORKS, INC./ "UBNT"

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

12/00

5. If Amendment, Date of Original (Month/Year)

=====  
6. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

☐ Director ☐ 10% Owner  
☐ Officer (give title below) ☒ Other (specify below)

[X] Form filed by One Reporting Person  
 [\_] Form filed by more than One Reporting Person

---



# Edgar Filing: UNIVERSAL BROADBAND NETWORKS INC - Form 4

=====

## Explanation of Responses:

1. Reporting person resigned as Director of the Company on November 5, 2000.
2. Such shares were rescinded by the Company on December 7, 2000.

/S/ Richard F. Charles

January 9, 2001

-----  
\*\*Signature of Reporting Person  
RICHARD F. CHARLES

-----  
Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

Page 2