Edgar Filing: DIVIDEND & INCOME FUND - Form 4

DIVIDEND & INCOME FUND Form 4 December 26, 2012 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **BEXIL CORP** Issuer Symbol **DIVIDEND & INCOME FUND** (Check all applicable) [DNI] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner __X__ Other (specify Officer (give title (Month/Day/Year) below) below) 11 HANOVER SQUARE, 12TH 12/21/2012 See "Explanation of Responses" **FLOOR** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting NEW YORK, NY 10005 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) anv Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Direct (D) (Instr. 8) Owned Ownership or Indirect Following (Instr. 4) Reported (I)(A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V (D) Price Amount Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

12/21/2012

Stock

J(1)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

237,741

D

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

237,741

A

13.48

Security or Ex (Instr. 3) Price	ivative	4. Transactio Code (Instr. 8)	5. 6. Date Exercisable and orNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Title a Amount Underly Securitic (Instr. 3	nt of ying ies	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
Reportir	ng Owners	Code V	(A) (D)	Date Exercisable	Expiration Date	or Title N of	Amount or Number of Shares		

Deletionshine

Reporting Owner Name / Address	Kelationships							
	Director	10% Owner	Officer	Other				
BEXIL CORP 11 HANOVER SQUARE 12TH FLOOR NEW YORK, NY 10005				See "Explanation of Responses"				
Signatures								
Thomas B. Winmill, President, Corporation	on behal		12/26/2012					
<u>**</u> Signature of Repo	orting Person			Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a dividend paid for in-kind by Bexil Securities LLC, of which the Reporting Person is the sole member.

Remarks:

The Reporting Person is the sole member of the registered investment adviser of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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