Edgar Filing: KOREA FUND INC - Form 3/A

KOREA FUND INC

Form 3/A January 15, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Grau William

(Last) (First)

1633 BROADWAY

(Middle)

Statement

(Month/Day/Year)

01/01/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

KOREA FUND INC [KF]

4. Relationship of Reporting

Person(s) to Issuer

Filed(Month/Day/Year)

01/08/2016

(Check all applicable)

Director 10% Owner Officer _X_ Other (give title below) (specify below)

SEE REMARKS

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

5. If Amendment, Date Original

Form filed by More than One Reporting Person

NEW YORK, NYÂ 10019

(Street)

(City) (State)

1. Title of Security

(Instr. 4)

(Zip)

2. Amount of Securities

Beneficially Owned

(Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

> Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date**

(Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of Derivative

Security

4.

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Expiration Exercisable Date

Amount or Title Number of

Shares

(I) (Instr. 5)

Direct (D)

or Indirect

1

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Grau William

1633 BROADWAY Â Â Â SEE REMARKS

NEW YORK, NYÂ 10019

Signatures

/s/ William Grau 01/15/2016

**Signature of Date

Reporting Person

Explanation of Responses:

No securities are beneficially owned

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Remarks:

The Reporting Person is a Director, U.S. Head of Marketing and a member of the Executive Com

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2