### Edgar Filing: ALEXANDRIA REAL ESTATE EQUITIES INC - Form 4

#### ALEXANDRIA REAL ESTATE EQUITIES INC

Form 4

August 05, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**SECURITIES** 

OMB Number:

Expires:

**OMB APPROVAL** 

3235-0287

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Richardson Stephen

ALEXANDRIA REAL ESTATE **EQUITIES INC [ARE]** 

2. Issuer Name and Ticker or Trading

3. Date of Earliest Transaction

(Month/Day/Year) 08/03/2015

Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

Chief Operating Officer

10% Owner

Other (specify

C/O ALEXANDRIA REAL ESTATE EQUITIES, INC, 385

EAST COLORADO BOULEVARD,

(Street)

(First)

(Middle)

any

(Month/Day/Year)

**SUITE 299** 

(Instr. 3)

Common

Stock

(Last)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director X\_ Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

PASADENA, CA 91101

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

08/03/2015

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities Beneficially Owned Following

Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or Code V Amount (D)

Transaction(s) (Instr. 3 and 4)

S 5,000 D 93.91 64,007 D

Price

(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                    | 5.                         | 6. Date Exerc | cisable and     | 7. Title   | and         | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-----------------------|----------------------------|---------------|-----------------|------------|-------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber     |                            | Expiration D  | ate             | Amount of  |             | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code                  | of                         | (Month/Day/   | Year)           | Underly    | ing         | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivative |                            |               |                 | Securities |             | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                       | Securities Acquired (A) or |               |                 | (Instr. 3  | and 4)      |             | Own    |
|             | Security    |                     |                    |                       |                            |               |                 |            |             |             | Follo  |
|             | •           |                     |                    |                       |                            |               |                 |            |             |             | Repo   |
|             |             |                     |                    |                       | Disposed                   |               |                 |            |             |             | Trans  |
|             |             |                     |                    |                       | of (D)                     |               |                 |            |             |             | (Instr |
|             |             |                     |                    |                       | (Instr. 3,<br>4, and 5)    |               |                 |            |             |             |        |
|             |             |                     |                    |                       |                            |               |                 |            |             |             |        |
|             |             |                     |                    |                       |                            |               |                 | Λ.         | mount       |             |        |
|             |             |                     |                    |                       |                            |               |                 |            | mount       |             |        |
|             |             |                     |                    |                       |                            | Date          | Expiration Date | Title N    | r<br>Jumber |             |        |
|             |             |                     |                    |                       |                            | Exercisable   |                 | Title Numb |             |             |        |
|             |             |                     |                    | C + V                 | (A) (D)                    |               |                 |            |             |             |        |
|             |             |                     | Code V (A) (D)     |                       |                            |               |                 | S          | hares       |             |        |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

Director

10% Owner

Richardson Stephen C/O ALEXANDRIA REAL ESTATE EQUITIES, INC 385 EAST COLORADO BOULEVARD, SUITE 299 PASADENA, CA 91101

**Chief Operating Officer** 

Other

Officer

## **Signatures**

/s/Ron Metzger, 08/03/2015 Attorney-in-fact

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The shares were sold at prices ranging from \$93.84 to \$93.99. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2