Edgar Filing: AMERICAN EQUITY INVESTMENT LIFE HOLDING CO - Form 4

AMERICAN Form 4 April 12, 201	EQUITY INVES	STMEN	Г LIFE Н	OLDING	СО							
FORM	1								OMB A	PPROVAL		
	Washington, D.C. 20549								OMB Number:	3235-0287		
Check thi if no long subject to Section 14 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	er STATEM 6. Filed purs ¹⁸ Section 17(a	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940							burden hou response	Estimated average burden hours per response 0.5		
(Print or Type R	(esponses)											
1. Name and Address of Reporting Person [*] 2 Lorenzen Jeffrey D Sy Al			2. Issuer Name and Ticker or Trading Symbol AMERICAN EQUITY INVESTMENT LIFE HOLDING					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
			CO [AE	L]				Director 10% Owner				
(M			3. Date of Earliest Transaction (Month/Day/Year) 04/11/2016					_X_ Officer (give title Other (specify below) below) Executive VP-Chief Inv Officer				
(Street) 4. If Amer			nendment, Date Original Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)					
WEST DES MOINES, IA 50266								_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State) (Zip)	Table	e I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	ty (Month/Day/Year) Execution Date, it		on Date, if	CodeDisposed of (D)ar)(Instr. 8)(Instr. 3, 4 and 5)			Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)				
Common				Code V	Amount 1,413	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	_			
Stock	04/11/2016			D	(1)	D	\$0	25,582	D			
Common Stock								8,122 <u>(2)</u>	Ι	By ESOP		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Lorenzen Jeffrey D 6000 WESTOWN PARKWAY WEST DES MOINES, IA 50266			Executive VP-Chief Inv Officer				
Signatures							
Debra J. Richardson, by Power of Attorney	04/12/2016		2016				
**Signature of Reporting Person		Date					

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Restricted stock that was canceled by mutual agreement of the reporting person and American Equity Investment Life Holding Company.
- (2) Reflects ESOP allocations that have occurred since the date of the reporting person's last ownership report.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.