Edgar Filing: Cyclacel Pharmaceuticals, Inc. - Form 4

Cyclacel Pharmaceuticals, Inc. Form 4 September 26, 2011

September 2	26, 2011										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB APPROVAL				
	UNITEL) STATES			AND EX n, D.C. 20		NGE CO	OMMISSION	OMB Number:	3235-0287	
Check th if no lon subject to Section 1 Form 4 of Form 5 obligation	ger o 16. or Filed pu ons Section 17	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 Section 17(a) of the Public Utility Holding Company Act of 1935 or Sect						Act of 1934,	Expires: January 31, 2005 Estimated average burden hours per response 0.5		
may con See Instr 1(b). (Print or Type	ruction	30(h)	of the l	Investmer	nt Compar	iy Ac	t of 1940)			
1. Name and Address of Reporting Person <u>*</u> MARXE AUSTIN W &								5. Relationship of Reporting Person(s) to Issuer			
GREENHOUSE DAVID M			Cyclacel Pharmaceuticals, Inc. [CYCC]					(Check all applicable)			
				3. Date of Earliest Transaction . (Month/Day/Year)				DirectorX_ 10% Owner Officer (give title Other (specify			
	AL SITUATION 27 MADISON A 0		09/22/	-				below)	below)		
								6. Individual or Joint/Group Filing(Check Applicable Line)			
NEW YOR	K, NY 10022							_X_ Form filed by C Form filed by M Person			
(City)	(State)	(Zip)	Та	ble I - Non	-Derivative	Secur	ities Acqu	ired, Disposed of	, or Beneficia	ally Owned	
	.Title of Gecurity2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any3.Instr. 3)				4. Securitie poor Disposed (Instr. 3, 4 a)	l of (Ê))	Beneficially	Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)	(IIISU: I)		
Common Stock	09/22/2011			S	500,000	D	\$ 0.6047 (1)	3,456,900	Ι	By Limited Partnerships	
Common Stock	09/23/2011			S	650,000 (2)	D	\$ 0.5122	2,806,900 (2)	I <u>(2)</u>	By Limited Partnerships (2)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of
information contained in this form are not
required to respond unless the formSEC 1474
(9-02)

Edgar Filing: Cyclacel Pharmaceuticals, Inc. - Form 4

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Securities Acquired (A) or Disposed of (D) (Instr. 3,				Amou Unde Secur	tle and unt of rrlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
Repo	rting O	wners		Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
	Reporting	g Owner Name / Add	ress	Director	Relatio 10% Own	-	Other				

Х

MARXE AUSTIN W & GREENHOUSE DAVID M C/O SPECIAL SITUATIONS FUNDS 527 MADISON AVENUE, SUITE 2600 NEW YORK, NY 10022

Signatures

Austin W.	
Marxe	09/26/2011
<u>**</u> Signature of Reporting Person	Date
David M. Greenhouse	09/26/2011
<u>**</u> Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This is a weighted average price.
- (2) This is a joint filing by Austin W. Marxe (Marxe) and David M. Greenhouse (Greenhouse). They share voting and investment control over all securities owned by Special Situations Fund III QP, L.P. (QP), Special Situations Cayman Fund, L.P. (Cayman), Special Situations Private Equity Fund, L.P. (PE) and Special Situations Life Sciences Fund, L.P. (LS), respectively 1,390,646 shares of Common Stock are held by QP, 463,218 shares of Common Stock are held by Cayman, 370,361 shares of Common Stock are held by PE and 582,675 shares of Common Stock are held by LS. The interest of Marxe and Greenhouse in the shares of Common Stock owned by QP,

Edgar Filing: Cyclacel Pharmaceuticals, Inc. - Form 4

CAY, PE and LS is limited to the extent of his pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.