

CYTOKINETICS INC

Form 3

November 24, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Morgan Bradley Paul
(Last) (First) (Middle)

280 EAST GRAND AVENUE
(Street)

SOUTH SAN
FRANCISCO,Â CAÂ 94080
(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
11/16/2015

3. Issuer Name **and** Ticker or Trading Symbol
CYTOKINETICS INC [CYTK]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
SVP Drug Discovery & Early Dev

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

13,391

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Exercisable Expiration
Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title Amount or
Number of

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of
Indirect Beneficial
Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Incentive Stock Option (right to buy)	04/05/2013 ⁽¹⁾	03/05/2023	Common Stock	21,204	\$ 6	D	Â
Incentive Stock Option (right to buy)	04/05/2012 ⁽²⁾	03/05/2022	Common Stock	13,920	\$ 6.3	D	Â
Incentive Stock Option (right to buy)	03/26/2015 ⁽³⁾	02/26/2025	Common Stock	11,044	\$ 7.96	D	Â
Incentive Stock Option (right to buy)	03/28/2011 ⁽⁴⁾	02/28/2021	Common Stock	11,883	\$ 9.42	D	Â
Incentive Stock Option (right to buy)	03/24/2014 ⁽⁵⁾	02/24/2024	Common Stock	18,448	\$ 9.65	D	Â
Incentive Stock Option (right to buy)	03/26/2009 ⁽⁶⁾	02/26/2019	Common Stock	5,277	\$ 11.1	D	Â
Incentive Stock Option (right to buy)	03/24/2010 ⁽⁷⁾	02/24/2020	Common Stock	7,611	\$ 18.48	D	Â
Incentive Stock Option (right to buy)	03/29/2008 ⁽⁸⁾	02/28/2018	Common Stock	2,101	\$ 20.22	D	Â
Incentive Stock Option (right to buy)	04/14/2007 ⁽⁹⁾	03/14/2017	Common Stock	3,106	\$ 40.86	D	Â
Incentive Stock Option (right to buy)	04/01/2006 ⁽¹⁰⁾	03/15/2016	Common Stock	5,000	\$ 42.24	D	Â
Non-Qualified Stock Option (right to buy)	04/05/2013 ⁽¹⁾	03/05/2023	Common Stock	3,795	\$ 6	D	Â
Non-Qualified Stock Option (right to buy)	04/05/2012 ⁽²⁾	03/05/2022	Common Stock	1,079	\$ 6.3	D	Â
Non-Qualified Stock Option (right to buy)	03/26/2015 ⁽³⁾	02/26/2025	Common Stock	18,956	\$ 7.96	D	Â
Non-Qualified Stock Option (right to buy)	03/28/2011 ⁽⁴⁾	02/28/2021	Common Stock	617	\$ 9.42	D	Â
Non-Qualified Stock Option (right to buy)	03/24/2014 ⁽⁵⁾	02/24/2024	Common Stock	11,552	\$ 9.65	D	Â
Non-Qualified Stock Option (right to buy)	03/26/2009 ⁽⁶⁾	02/26/2019	Common Stock	1,389	\$ 11.1	D	Â
Non-Qualified Stock Option (right to buy)	03/24/2010 ⁽⁷⁾	02/24/2020	Common Stock	721	\$ 18.48	D	Â
Non-Qualified Stock Option (right to buy)	03/29/2008 ⁽⁸⁾	02/28/2018	Common Stock	1,033	\$ 20.22	D	Â
Non-Qualified Stock Option (right to buy)	04/14/2007 ⁽⁹⁾	03/14/2017	Common Stock	193	\$ 40.86	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Morgan Bradley Paul 280 EAST GRAND AVENUE SOUTH SAN FRANCISCO, CA 94080	Â	Â	Â SVP Drug Discovery & Early Dev	Â

Signatures

Bradley Morgan,
Ph.D. 11/23/2015

__Signature of
Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) When the ISO and NQ dated 03/05/2013 are combined for a total grant of 24,999 shares, the option shall vest and become exercisable as to 520 shares on 04/05/2013 and the balance of 24,479 divided into equal monthly installments thereafter such that the option shall be 100% vested on 03/05/2017.
- (2) When the ISO and NQ dated 03/05/2012 are combined for a total grant of 14,999 shares, the option shall vest and become exercisable as to 312 shares on 04/05/2012 and the balance of 14,687 divided into equal monthly installments thereafter such that the option shall be 100% vested on 03/05/2016.
- (3) When the ISO and NQ dated 02/26/2015 are combined for a total grant of 30,000 shares, the option shall vest and become exercisable as to 625 shares on 03/26/2015 and the balance of 29,375 divided into equal monthly installments thereafter such that the option shall be 100% vested on 02/26/2019.
- (4) When the ISO and NQ dated 02/28/2011 are combined for a total grant of 12,500 shares, the option shall vest and become exercisable as to 260 shares on 03/31/2011 and the balance of 12,240 divided into equal monthly installments thereafter such that the option shall be 100% vested on 02/28/2015.
- (5) When the ISO and NQ dated 02/24/2014 are combined for a total grant of 30,000 shares, the option shall vest and become exercisable as to 625 shares on 03/24/2014 and the balance of 29,375 divided into equal monthly installments thereafter such that the option shall be 100% vested on 02/24/2018.
- (6) When the ISO and NQ's dated 02/26/2009 are combined for a total grant of 6,666 shares, the option shall vest and become exercisable as to 138 shares on 03/26/09 and the balance of 6,528 divided into equal monthly installments thereafter such that the option shall be 100% vested on 02/26/13.
- (7) When the ISO and NQ dated 02/24/2010 are combined for a total grant of 4,999 shares, the option shall vest and become exercisable as to 104 shares on 03/24/2010 and the balance of 4,895 divided into equal monthly installments thereafter such that the option shall be 100% vested on 02/24/2014.
- (8) When the ISO and NQ dated 02/29/2008 are combined for a total grant of 3,134 shares, the option shall vest and become exercisable as to 65 shares on 03/29/08 and the balance of 3,069 divided into equal monthly installments thereafter such that the option shall be 100% vested on 02/29/12.
- (9) When the ISO and NQ dated 03/14/2007 are combined for a total grant of 3,299 shares, the option shall vest and become exercisable as to 68 shares on 04/01/07 and the balance of 3,231 divided into equal monthly installments thereafter such that the option shall be 100% vested on 03/01/11.
- (10) This option shall vest and become exercisable as to 104 shares on 04/01/06 and the balance of 4,896 divided into equal monthly installments thereafter such that the option shall be 100% vested on 03/01/10.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.