

Edgar Filing: BEAR STEARNS COMPANIES INC - Form 4

BEAR STEARNS COMPANIES INC

Form 4

March 28, 2003

FORM 4

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden
hours per response 0.5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(h) of the Investment Company Act of 1940

[] Check this box if no longer subject to Section 16. Form 4 or Form 5
obligations may continue. See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person*

NOVELLY PAUL A.
(Last) (First) (Middle)
8235 FORSYTH BLVD., SUITE 400
(Street)

ST. LOUIS MO 63105
(City) (State) (Zip)

2. Issuer Name AND Ticker or Trading Symbol

THE BEAR STEARNS COMPANIES INC. (BSC)

3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)

4. Statement for Month/Day/Year

MARCH 26, 2003

5. If Amendment, Date of Original (Month/Day/Year)

6. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

[X] Director [] 10% Owner
[] Officer (give title below) [] Other (specify below)

Edgar Filing: BEAR STEARNS COMPANIES INC - Form 4

7. Individual or Joint/Group Filing (Check Applicable Line)

☒ Form filed by One Reporting Person

☐ Form filed by More than One Reporting Person

TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED

1. Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/Year)	2A. Deemed Execution Date, if any (Month/ Day/Year)	3. Transaction Code (Instr. 8) Code V	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5
				Amount	(A) or (D)	Price	

COMMON STOCK, par
value \$1.00 per share

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security	2. Conversion or Exercise Price of Deriv- ative Secu-	3. Trans- action Date (Month/ Day/	3A. Deemed Execu- tion Date, if any (Month/ Day/	4. Trans- action Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4) Amount or Number of
						Date	Expira- tion	

Edgar Filing: BEAR STEARNS COMPANIES INC - Form 4

(Instr. 3)	rity	Year)	Year)	Code V	(A)	(D)	cisable	Date	Title	Shares
Non-Employee Director Stock Options (Right to Buy)	\$64.00				146		05/30/03	11/30/12	Common Stock	146
Restricted Stock Units	0				48		05/30/03	05/30/03	Common Stock	48
Non-Employee Director Stock Options (Right to Buy)	\$62.64				149		08/28/03	02/28/13	Common Stock	149
Restricted Stock Units	0				49		08/28/03	08/28/03	Common Stock	49
Non-Employee Director Stock Options (Right to Buy)	\$66.70	03/26/03		A (Note 2)	1,911		09/26/03	03/26/13	Common Stock	1,911
Restricted Stock Units	0	03/26/03		A (Note 3)	637		09/26/03	09/26/03	Common Stock	637