

KOREA FUND INC
Form 3
April 07, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *			2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â CITY OF LONDON INVESTMENT GROUP PLC			(Month/Day/Year) 03/28/2017	KOREA FUND INC [KF]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)
77 GRACECHURCH STREET, Â LONDON ENGLAND			(Check all applicable)		
(Street)			___ Director		___X___ 10% Owner
			___ Officer		___ Other
			(give title below) (specify below)		
LONDON, Â X0Â EC3V0AS			6. Individual or Joint/Group Filing(Check Applicable Line)		
(City)	(State)	(Zip)	___ Form filed by One Reporting Person		
			___X___ Form filed by More than One Reporting Person		

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock, par value \$.01 per share ⁽¹⁾	286,250 ⁽¹²⁾	I	As investment adviser to a private investment fund ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽²⁾	75,234 ⁽¹²⁾	I	As investment adviser to a private investment fund ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽³⁾	189,598 ⁽¹²⁾	I	As investment adviser to a private investment fund ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽⁴⁾	157,455 ⁽¹²⁾	I	As investment adviser to a private investment fund ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽⁵⁾	113,832 ⁽¹²⁾	I	As investment adviser to a private investment fund ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽⁶⁾	115,802 ⁽¹²⁾	I	

Class of Securities	Quantity	Code	Description
Common Stock, par value \$.01 per share ⁽⁷⁾	135,829 ⁽¹²⁾	I	As investment adviser to a Dublin, Ireland-listed open-ended investment company ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽⁸⁾	216,887 ⁽¹²⁾	I	As investment adviser to a private investment fund ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽⁹⁾	27,924 ⁽¹²⁾	I	As investment adviser to a private investment fund ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽¹⁰⁾	23,968 ⁽¹²⁾	I	As investment adviser to a private investment fund ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽¹¹⁾	1,109,978 ⁽¹²⁾	I	As investment adviser to unaffiliated third-party segregated accounts ⁽¹³⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CITY OF LONDON INVESTMENT GROUP PLC 77 GRACECHURCH STREET LONDON ENGLAND LONDON, E.C.3V0AS	Â	Â X	Â	Â
CITY OF LONDON INVESTMENT MANAGEMENT CO LTD 77 GRACECHURCH STREET LONDON ENGLAND LONDON, E.C.3V0AS	Â	Â X	Â	Â

