Edgar Filing: GRAZIADIO G LOUIS III - Form 4

GRAZIAD	IO G LOUIS III											
August 30,	2017											
FORM		STATES	SECU	DITIES	AND EV	СЦ	ANCE C	OMMISSION	r	APPROVAL		
		STATES						UNINISSIUN	OMB Number:	3235-0287		
Check t if no lor subject Section Form 4 Form 5 obligati may con <i>See</i> Inst 1(b).	nger to 16. or Filed put ons ntinue.	rsuant to S (a) of the l	Washington, D.C. 20549 DF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES o Section 16(a) of the Securities Exchange Act of 1934, e Public Utility Holding Company Act of 1935 or Section a) of the Investment Company Act of 1940							January 31 2005 Estimated average burden hours per response 0.5 n		
(Print or Type	Responses)											
GRAZIADIO G LOUIS III SI			Symbol	er Name ar TIA RESE G]			C	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Me			(Month/	3. Date of Earliest Transaction (Month/Day/Year) 08/29/2017				X_ Director 10% Owner Officer (give title Other (specify below) below)				
NEWPOR'	(Street) T BEACH, CA 92	2660		nendment, I onth/Day/Ye	-	al		6. Individual or Jo Applicable Line) _X_ Form filed by 0 Form filed by N	One Reporting	Person		
(City)	(State)	(Zip)	T - 1			C	•.••	Person	6 D			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	-	ed Date, if	3.	4. Securiti onor Dispose (Instr. 3, 4	ies Ac ed of (cquired (A) (D) 5) Price	uired, Disposed o 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	08/29/2017			Р	14,500	А	\$ 3.3509 (1)	523,351	D			
Common Stock	08/29/2017			Р	2,500	А	\$ 3.3509 (1)	5,000	I	Owned by Spouse		
Common Stock	08/29/2017			Р	1,000	A	\$ 3.35	16,000	I	Marianna R. Graziadio (minor children) (2)		

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Common Stock	08/29/20	17	Р	1,000	A	\$ 3.35	16,000	Ι		Georg Grazi IV (n child	adio
Common Stock							20,000	I		Reva Grazi	
Common Stock							305,500) I		Grazi Fami Trust 11/13	ly
Common Stock							15,000	I		The C & Re Grazi Chari Lead Annu Trust	adio table ity
Common Stock							20,000	I			Carra ership
Reminder: I	Report on a sej	parate line for each cla	ass of securities bene	ficially ow	ned di	irectly or	indirectly.				
Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.											
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	of Der Sec Acc (A) Dis of ((Ins	umber Expiration Date Amount of		nt of ying ies	8. Price of Derivative Security (Instr. 5)		
				Code V	7 (A)	E		Expiration Date	Title	Amount or Number of Shares	

9. Nu Deriv Secu Bene Own Follo

Repo Trans (Instr

Reporting Owners

Reporting Person

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
GRAZIADIO G LOUIS III 520 NEWPORT CENTER DR. 12TH FLOOR NEWPORT BEACH, CA 92660	X							
Signatures								
G. Louis Graziadio, III 08/2	30/2017							
** Signature of	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$3.35 to \$3.40, inclusive. Mr. Graziadio undertakes to provide to Acacia Research Corporation ("Issuer"), any security holder of the

- (1) Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in footnote (1) to this Form 4.
- (2) These shares are owned by Mr. Graziadio's minor child, Marianna R. Graziadio.
- (3) These shares are owned by Mr. Graziadio's minor child, George L. Graziadio, IV.
- Shares are owned by the George and Reva Graziadio Foundation ("Foundation"), a charitable foundation established by Mr. Graziadio's
 (4) parents, as to which Mr. Graziadio has no pecuniary interest. Mr. Graziadio is one of three directors of the Foundation and does not have the right to control disposition of these shares. Mr. Graziadio disclaims beneficial ownership of the shares owned by the Foundation.

These shares are owned by the Graziadio Family Trust u/d/t 11/13/75 ("GFT"), an irrevocable trust established by Mr. Graziadio for the
(5) benefit of his children. Mr. Graziadio is neither a trustee nor a beneficiary of GFT and disclaims beneficial ownership of the shares owned by GFT.

These shares are owned by the George & Reva Graziadio Charitable Lead Annuity Trust (the "Annuity Trust"), as to which Mr. Graziadio(6) is trustee and Mr. Graziadio's minor children are contingent beneficiaries. Mr. Graziadio disclaims beneficial ownership of the shares owned by the Annuity Trust.

These shares are owned by Gina-Carra Partnership ("Gina-Carra"), a limited partnership of which Mr. Graziadio is the trustee of each of
 the general partners, which are trusts for the benefit of Mr. Graziadio's children. Mr. Graziadio disclaims beneficial ownership of the shares owned by Gina-Carra.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.