

ROSENBAUM LEONARD A
Form 4
September 14, 2011

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ROSENBAUM LEONARD A

2. Issuer Name and Ticker or Trading Symbol
CVD EQUIPMENT CORP [CVV]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
C/O CVD EQUIPMENT CORPORATION, 1860 SMITHTOWN AVE

3. Date of Earliest Transaction (Month/Day/Year)
09/12/2011

Director 10% Owner
 Officer (give title below) Other (specify below)
CEO, President

(Street)
RONKONKOMA, NY 11779

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	09/12/2011		S	21,000	D	\$ 16.28	1,153,450 D
						(1)	
Common Stock	09/13/2011		S	1,000	D	\$ 16.31	1,152,450 D
						(2)	
Common Stock	09/14/2011		S	1,000	D	\$ 16.66	1,151,450 D
						(3)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: ROSENBAUM LEONARD A - Form 4

- This price represents the weighted average prices for multiple transactions reported on this line. The per share prices of the transactions reported on this line ranged from \$16.51 to \$16.75. Upon request by the SEC staff, the Issuer or a security holder of the Issuer, the
- (3) Reporting Person will undertake to provide full information regarding the number of shares and prices at which the transactions were effected. These sales were made pursuant to a 10b5-1 Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.