## Edgar Filing: Anderson Gerald A - Form 4

Anderson Ge Form 4 April 20, 20									
•						OMB A	PPROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							3235-0287		
Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont <i>See</i> Instru 1(b).	ger 6. r Filed pur ns Section 17(	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							
(Print or Type I	Responses)								
1. Name and Address of Reporting Person <u>*</u> Anderson Gerald A			bol	d Ticker or Trading INC [PLUG]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	(First) (I	rst) (Middle) 3. Date of Earliest Transaction			(Check an applicable)				
968 ALBANY SHAKER ROAD			nth/Day/Year) 13/2011		Director 10% Owner X Officer (give title Other (specify below) below) Chief Financial Officer				
(Street)			Amendment, D d(Month/Day/Yea	-	6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person				
LATHAM,	NY 12110				Form filed by M Person	lore than One R	eporting		
(City)	(State)	(Zip)	Table I - Non-	Derivative Securities A	Acquired, Disposed of	, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date, any	Code ar) (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price	SecuritiesFBeneficially(IOwned(I	. Ownership orm: Direct D) or Indirect () instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Damin dam Dan	out on a compute line	for each along of			on in directly.				
Keminder: Kep	ort on a separate line	FIOT EACH CLASS OF	securities bene	information cont required to resp	or indirectly. spond to the collect tained in this form a ond unless the forr ntly valid OMB con	are not n	SEC 1474 (9-02)		

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Disposed o	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Option to Purchase Common Stock	\$ 0.61	04/13/2011		A	656,000 (1)		(2)	04/13/2021	Common Stock	656,000

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships							
reporting o where i valle / i valless	Director	10% Owner	Officer	Other				
Anderson Gerald A 968 ALBANY SHAKER ROAD LATHAM, NY 12110			Chief Financial Officer					
Signatures								
/s/ Gerard L. Conway, Jr., Attorney in Fact		04/20/2011						

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Awarded pursuant to Plug Power's 1999 Stock Option and Incentive Plan.
- (2) The stock options vest as follows: 218,667 shares become exercisable on April 13, 2012; 218,667 shares become exercisable on April 13, 2013; 218,666 shares become exercisable on April 13, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.