

WADDELL & REED FINANCIAL INC

Form 8-K/A

July 24, 2006

**SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

## **FORM 8-K/A**

### **CURRENT REPORT**

#### **Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported):

**July 24, 2006 (July 24, 2006)**

## **WADDELL & REED FINANCIAL, INC.**

(Exact name of registrant as specified in its charter)

**Delaware**  
(State or Other  
Jurisdiction of  
Incorporation)

**001-13913**  
(Commission  
File Number)

**51-0261715**  
(IRS Employer  
Identification No.)

**6300 Lamar Avenue**

**Overland Park, Kansas 66202**

(Address of Principal Executive Offices) (Zip Code)

**(913) 236-2000**

(Registrant's telephone number, including area code)

(Registrant's Name or Former Address, if Changed Since Last Report)

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  
  - Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  
  - Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  
  - Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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**ITEM 7.01:                   REGULATION FD DISCLOSURE**

Waddell & Reed Financial, Inc. has revised its press release titled "Waddell & Reed Financial, Inc. Announces Regulatory Settlements" previously furnished as Exhibit 99.1 to its Current Report on Form 8-K filed with the SEC on July 24, 2006. Please see Exhibit 99.1 attached to this amended Current Report on Form 8-K/A for the revised press release.

**ITEM 9.01:**

**FINANCIAL STATEMENT AND EXHIBITS**

(d) Exhibits.

99.1 Press Release dated July 24, 2006 titled Revised: Waddell & Reed Financial, Inc. Announces Regulatory Settlements *(furnished and not filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, and not deemed incorporated by reference in any filing under the Securities Act of 1933, as amended).*

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

WADDELL & REED FINANCIAL, INC.

Date: July 24, 2006

By: /s/ Daniel C. Schulte  
Senior Vice President and  
General Counsel

**EXHIBIT INDEX**

<b>Exhibit No.</b>	<b>Description</b>
99.1	Press Release dated July 24, 2006 titled Revised: Waddell & Reed Financial, Inc. Announces Regulatory Settlements <i>(furnished and not filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, and not deemed incorporated by reference in any filing under the Securities Act of 1933, as amended).</i>