

Artio Global Investors Inc.  
Form SC 13G/A  
June 25, 2013

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
  
SCHEDULE 13G/A**

**(Rule 13d-102)**

**INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT TO § 240.13d-1(b), (c) AND (d) AND AMENDMENTS  
THERE TO FILED PURSUANT TO § 240.13D-2**

**Under the Securities Exchange Act of 1934  
(Amendment No: 1)\***

**Artio Global Investors Inc.**

(Name of Issuer)

**Class A Common Stock**

(Title of Class of Securities)

**04315B107**

(CUSIP Number)

**May 21, 2013**

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- ☐ Rule 13d-1(b)
- ☐ Rule 13d-1(c)
- ☒ Rule 13d-1(d)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

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The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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CUSIP No. 04315B107

1. Names of Reporting Persons.  
I.R.S. Identification Nos. of above persons (entities only).

GAM Holding AG

2. Check the Appropriate Box if a Member of a Group (See Instructions)

(a) ☐  
(b) ☐

3. SEC Use Only

4. Citizenship or Place of Organization  
Switzerland

Number of  
Shares  
Beneficially  
Owned by  
Each  
Reporting  
Person With\*

5. Sole Voting Power  
0

6. Shared Voting Power  
0

7. Sole Dispositive Power  
0

8. Shared Dispositive Power  
0

9. Aggregate Amount Beneficially Owned by Each Reporting Person  
0

10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions) ☐

11. Percent of Class Represented by Amount in Row (9)  
0

12. Type of Reporting Person (See Instructions)  
HC

## Edgar Filing: Artio Global Investors Inc. - Form SC 13G/A

This Amendment No.1 to Schedule 13G (this Amendment) is an amendment to the initial statement on Schedule 13G relating to shares of Class A Common Stock (the Common Stock), of Artio Global Investors Inc., a Delaware corporation (the Issuer), filed with the Securities and Exchange Commission on February 14, 2013 (the Schedule 13G).

This Amendment is being filed on behalf of GAM Holding AG (the Reporting Person).

This Amendment amends and restates the Schedule 13G as follows.

### Item 1.

- (a) Name of Issuer  
Artio Global Investors Inc.
- (b) Address of Issuer's Principal Executive Offices  
330 Madison Avenue New York, NY 10017

### Item 2.

- (a) Name of Person Filing  
GAM Holding AG
- (b) Address of Principal Business Office or, if none, Residence  
Klaustrasse 10, 8034 Zurich, Switzerland
- (c) Citizenship  
Switzerland
- (d) Title of Class of Securities  
Class A Common Stock
- (e) CUSIP Number  
04315B107

### Item 3.

- If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:**
- (a) ☐ Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).
  - (b) ☐ Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
  - (c) ☐ Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
  - (d) ☐ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8).
  - (e) ☐ An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E);
  - (f) ☐ An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);
  - (g) ☐ A parent holding company or control person in accordance with §240.13d-1(b)(1)(ii)(G);
  - (h) ☐ A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
  - (i) ☐ A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
  - (j) ☐ Group, in accordance with § 240.13d-1(b)(1)(ii)(J).

**Item 4. Ownership.**

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a) Amount beneficially owned:

0

(b) Percent of class:

0%

(c) Number of shares as to which the person has:

(i) Sole power to vote or to direct the vote

0

(ii) Shared power to vote or to direct the vote

0

(iii) Sole power to dispose or to direct the disposition of

0

(iv) Shared power to dispose or to direct the disposition of

0

**Item 5. Ownership of Five Percent or Less of a Class**

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following ☒.

**Item 6. Ownership of More than Five Percent on Behalf of Another Person.**

Not applicable

**Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person.**

Not applicable

**Item 8. Identification and Classification of Members of the Group**

Not applicable

**Item 9. Notice of Dissolution of Group**

Not applicable

**Signature**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

June 24, 2013  
Date

GAM HOLDING AG

/s/ Scott Sullivan  
Signature

Scott Sullivan, Group General Counsel  
Name/Title