

VENTAS INC  
Form 8-K  
September 26, 2016

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934**

Date of report (Date of earliest event reported): **September 21, 2016**

**VENTAS, INC.**

(Exact Name of Registrant as Specified in Its Charter)

**Delaware**  
(State or Other Jurisdiction  
of Incorporation)

**1-10989**  
(Commission  
File Number)

**61-1055020**  
(IRS Employer  
Identification No.)

**353 N. Clark Street, Suite 3300, Chicago, Illinois**  
(Address of Principal Executive Offices)

**60654**  
(Zip Code)

(Registrant's telephone number, including area code): **(877) 483-6827**

**Not applicable**

## Edgar Filing: VENTAS INC - Form 8-K

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instruction A.2. below):

- o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  - o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  - o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  - o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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**Item 8.01. Other Events.**

Ventas, Inc. (the Company) believes that the investigation by the Securities and Exchange Commission's Division of Enforcement (the SEC Staff) regarding the circumstances of the Company's former registered public accounting firm's determination in July 2014 that it was not independent of the Company has been completed with no action against the Company. On September 21, 2016, the Company received a letter from the SEC Staff indicating that the SEC Staff has concluded its investigation as to the Company related to this matter and stating that [b]ased on the information we have as of this date, we do not intend to recommend an enforcement action by the Commission against Ventas.

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**VENTAS, INC.**

Date: September 26, 2016

By:

*/s/ T. Richard Riney*

T. Richard Riney

Executive Vice President, Chief Administrative  
Officer, General Counsel and Ethics and Compliance  
Officer