

ARCHIPELAGO HOLDINGS INC
Form 4
March 08, 2006

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Haller Tom

(Last) (First) (Middle)

100 SOUTH WACKER DRIVE,
SUITE 1800, C/O ARCHIPELAGO
HOLDINGS, INC.

(Street)

CHICAGO, IL 60606

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ARCHIPELAGO HOLDINGS INC
[AX]

3. Date of Earliest Transaction
(Month/Day/Year)
03/07/2006

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Executive Managing Director

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Price			
Common Stock	03/07/2006		D	1,000 D 11 0		D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (right to buy)	\$ 19.3	03/07/2006		D		20,726		<u>(2)</u> (3)	03/16/2015	Common Stock	20,726
Employee Stock Options (right to buy)	\$ 4.91	03/07/2006		D		5,556		<u>(2)</u> (4)	01/18/2013	Common Stock	5,556
Employee Stock Options (right to buy)	\$ 6.26	03/07/2006		D		5,555		<u>(2)</u> (4)	01/18/2013	Common Stock	5,555
Employee Stock Options (right to buy)	\$ 13.41	03/07/2006		D		77,778		<u>(2)</u> (5)	11/18/2013	Common Stock	77,778
Restricted Stock Units	\$ 0	03/07/2006		D		10,899		<u>(6)</u> (11)	<u>(6)</u>	Common Stock	10,899
Restricted Stock Units	\$ 0	03/07/2006		D		3,178		<u>(7)</u> (11)	<u>(7)</u>	Common Stock	3,178
Restricted Stock Units	\$ 0	03/07/2006		D		1,447		<u>(8)</u> (11)	<u>(8)</u>	Common Stock	1,447
Restricted Stock Units	\$ 0	03/07/2006		D		1,412		<u>(9)</u> (11)	<u>(9)</u>	Common Stock	1,412
Restricted Stock Units	\$ 0	03/07/2006		D		5,884		<u>(10)</u> (11)	<u>(10)</u>	Common Stock	5,884

