Edgar Filing: NETGEAR, INC - Form 4

NETGEAR, INC Form 4 April 28, 2016					
Image: Properties of the pursuant to Section 16 (a) of the Public Utility Holding Company Act of 1935, Section 17 (a) of the Public Utility Holding Company Act of 1940 (b). OMB APPROVA Image: Properties of the pursuant to Section 16 (b). OMB APPROVA Image: Properties of the public Utility Holding Company Act of 1935 or Section 16 (b). OMB APPROVA Image: Properties of the public Utility Holding Company Act of 1940 (b). OMB APPROVA Image: Properties of the public Utility Holding Company Act of 1935 or Section 16 (b). OMB APPROVA Image: Properties of the public Utility Holding Company Act of 1935 or Section 16 (b). OMB APPROVA Image: Properties of the public Utility Holding Company Act of 1940 (c). OMB APPROVA Image: Properties of the public Utility Holding Company Act of 1935 or Section 16 (b). OMB APPROVA Image: Properties of the public Utility Holding Company Act of 1940 (c). OMB APPROVA Image: Properties of the public Utility Holding Company Act of 1940 (c). OMB APPROVA Image: Properties of the public Utility Holding Company Act of 1940 (c). OMB APPROVA Image: Properties of the public Utility Holding Company Act of 1940 (c). OMB APPROVA					
1. Name and Address of Reporting Person <u>*</u> FALCON MICHAEL F	2. Issuer Name and Ticker or Trading Symbol NETGEAR, INC [NTGR]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) NETGEAR, INC., 350 E. PLUMERIA DR.	3. Date of Earliest Transaction(Month/Day/Year)04/28/2016	Director 10% Owner X Officer (give title Other (specify below) below) SVP, Operations			
(Street) SAN JOSE, CA 95134	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
(City) (State) (Zip)		Person			
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. De Execu any) Securities Ownership Indirect Beneficially Form: Direct Beneficial Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4)			
Common 04/28/2016 Stock	Code V Amount (D) Pric $M_{(1)}^{(1)} = 8,308 \text{ A} \qquad \$ 31.3$	45.887 D			
Common 04/28/2016 Stock	S <u>(1)</u> 8,308 D \$45	37,579 D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Secur Secur Acqu (A) c Dispo (D)	sposed of) .str. 3, 4,		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 21.1						(2)	02/02/2020	Common Stock	0
Employee Stock Option (Right to Buy)	\$ 20.8						<u>(3)</u>	06/13/2020	Common Stock	0
Employee Stock Option (Right to Buy)	\$ 35.32						<u>(4)</u>	02/03/2021	Common Stock	20,000
Employee Stock Option (Right to Buy)	\$ 33.15						<u>(5)</u>	04/26/2021	Common Stock	3,400
Employee Stock Option (Right to Buy)	\$ 31.31	04/28/2016		M <u>(1)</u>		8,308	<u>(6)</u>	06/06/2022	Common Stock	8,308
Employee Stock Option (Right to Buy)	\$ 32.54						<u>(7)</u>	05/16/2023	Common Stock	18,231
Employee Stock Option	\$ 32.52						<u>(8)</u>	06/03/2024	Common Stock	25,000

(Right to Buy)					
Employee Stock Option (Right to Buy)	\$ 31.28	<u>(9)</u>	06/02/2025	Common Stock	25,000
Employee Stock Option (Right to Buy)	\$ 39.53	<u>(9)</u>	03/24/2026	Common Stock	25,000

Reporting Owners

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
FALCON MICHAEL F NETGEAR, INC. 350 E. PLUMERIA DR.			SVP, Operations			
SAN JOSE, CA 95134 Signatures						

/s/ Andrew W. Kim, Attorney in Fact

**Signature of Reporting Person

04/28/2016

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The exercise and sale reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 26, 2016.
- (2) 25% of the option grant is exercisable on 2/2/2011, and 1/48 of the option grant is exercisable each month thereafter.
- (3) 25% of the shares subject to the option shall vest on 6/13/2011, and 1/48th of the shares subject to the option shall vest each month thereafter, subject to the optionee continuing to be a service provider on such dates.
- (4) 25% of the option grant is exercisable on 2/3/2012, and 1/48 of the option grant is exercisable each month thereafter.
- (5) 25% of the option grant is exercisable on 4/26/2012, and 1/48 of the option grant is exercisable each month thereafter.
- (6) 25% of the option grant is exercisable on 6/6/2013, and 1/48 of the option grant is exercisable each month thereafter.
- (7) 25% of the option grant is exercisable on 5/16/2014, and 1/48 of the option grant is exercisable each month thereafter.
- (8) 25% of the options will be exercisable on 6/03/2015, and 1/48 of the option grant is exercisable each month thereafter.

This Option shall be exercisable, in whole or in part, in accordance with the following schedule: 25% of the Shares subject to the Option(9) shall vest twelve months after the Vesting Start Date, and 1/48 of the Shares subject to the Option shall vest each month thereafter, subject to the Optionee continuing to be a Service Provider on such dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.