

WACHOVIA CORP NEW  
Form 3/A  
September 18, 2007

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *			2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â RADY ERNEST S TRUST			(Month/Day/Year)	WACHOVIA CORP NEW [WB]	
(Last)	(First)	(Middle)	04/18/2006	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
11455 EL CAMINO				(Check all applicable)	04/28/2006
REAL,Â SUITE 200				<input checked="" type="checkbox"/> Director	6. Individual or Joint/Group Filing(Check Applicable Line)
(Street)				<input type="checkbox"/> 10% Owner	<input checked="" type="checkbox"/> Form filed by One Reporting Person
SAN DIEGO,Â CAÂ 92130				<input type="checkbox"/> Officer	<input type="checkbox"/> Form filed by More than One Reporting Person
(City)	(State)	(Zip)		<input type="checkbox"/> Other	
				(give title below)	(specify below)

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	49,443	I	Explorer Ins.
Common Stock <sup>(1)</sup>	96,892	I	Rady Family Foundation <sup>(1)</sup>
Common Stock	5,927,126 <sup>(5)</sup>	I	Ernest Rady Trust
Common Stock	372,021	I	Evelyn Rady Trust
Common Stock	614,708	I	DHM Trust
Common Stock	27,990,269	I	American Assets
Common Stock	850,422	I	Insurance Co. of the West
Preferred Stock	4,400	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
ESOP (Right to Buy)	03/03/2006 <sup>(2)</sup>	03/03/2010	Common Stock	16,999	\$ 36.6	D	Â
ESOP (Right to Buy)	02/18/2007	02/18/2009	Common Stock	0	\$ 33.1	D	Â
ESOP (Right to Buy) <u>(3)</u>	03/31/2007 <sup>(4)</sup>	03/31/2016	Common Stock	33,952	\$ 56.05	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RADY ERNEST S TRUST 11455 EL CAMINO REAL SUITE 200 SAN DIEGO, CA 92130	Â X	Â	Â	Â

## Signatures

Ernest S. Rady                      09/18/2007

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Tax-Exempt Charitable Foundation established under Section 501(c)(3). After initial Form 3 filing, this holding will not be disclosed on any subsequent Form 4 submissions.
- (2) These shares vest in three equal annual installments beginning 3/3/2006.
- (3) Provisions exist with respect to these shares to allow for the withholding of shares to satisfy tax withholding obligations and the withholding of shares in payment of the exercise price.
- (4) The option becomes exercisable in substantially equal installments on each of the five anniversaries of the date of grant (20% per year) beginning March 31, 2007.
- (5) As of 4/18/06, includes 5,203 shares of unvested restricted stock with respect to which provisions exist to allow for the withholding of shares to meet certain tax withholding obligations.

## Edgar Filing: WACHOVIA CORP NEW - Form 3/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.  
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