#### Edgar Filing: NORTHEAST UTILITIES - Form 4

#### NORTHEAST UTILITIES

Form 4

March 11, 2011

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **BOOTH RICHARD H** 

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

NORTHEAST UTILITIES [NU]

5. Relationship of Reporting Person(s) to

(Check all applicable)

Trustee

below)

Issuer

below)

(Last)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

03/09/2011

Director

10% Owner Officer (give title \_\_X\_ Other (specify

C/O NORTHEAST UTILITIES, ONE FEDERAL STREET, BUILDING 111-4

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

SPRINGFIELD, MA 01105

(City)	(State)	(Zip) Tab	le I - Non-l	Derivativo	e Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		sed of	` '	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares, \$5.00 par value	03/09/2011		M	2,500 (1)	A	\$ 20.75	37,307 (2)	D	
Common Shares, \$5.00 par value	03/09/2011		S	2,500	D	\$ 34.7224	34,807 (2)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 20.75	03/09/2011		M	2,500	07/09/2002	07/09/2011	Common Shares, \$5.00 par value	2,500

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BOOTH RICHARD H C/O NORTHEAST UTILITIES ONE FEDERAL STREET, BUILDING 111-4 SPRINGFIELD, MA 01105

Trustee

### **Signatures**

/s/ Richard H. Booth, By: O. Kay Comendul/POA

03/11/2011

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options exercised to purchase 2,500 Common Shares were granted on July 9, 2001, that vested 50% on that date and 50% on February 27, 2002.

Mr. Booth's total includes restricted share units receipt of which has been deferred, shares receipt of which has been deferred and dividend equivalents thereon. Mr. Booth also continues to beneficially own 2,500 options to purchase Northeast Utilities Common shares, the acquisition of which was previously reported on Form 4.

Reporting Owners 2

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.