Edgar Filing: Larocca Christopher M - Form 4

Larocca Chr. Form 4	istopher M									
August 22, 2	2011									
FORM	14_{UNITEDS}	TATES SE	CUDITIES A	ND FYCI	HAN	CF (OMMISSION	r	PPROVAL	
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 o Form 5	ger STATEM 16. pr								Expires: January 31 200 Estimated average burden hours per response 0.	
obligatio may cont <i>See</i> Instru 1(b).	ns Section 17(a) of the Publ		ling Comp	bany A	Act o	ge Act of 1934, f 1935 or Sectio 40	n		
(Print or Type I	Responses)									
1. Name and Address of Reporting Person <u>*</u> Larocca Christopher M			2. Issuer Name and Ticker or Trading Symbol EMCORE CORP [EMKR]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M		3. Date of Earliest Transaction				(Check all applicable)			
10420 RESEARCH ROAD SE			(Month/Day/Year) 08/19/2011				Director 10% Owner X Officer (give title Other (specify below) below) Chief Operating Officer			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
ALBUQUE	RQUE, NM 87123	3					Person	Note than one R	cporting	
(City)	(State) (2	Zip)	Table I - Non-D	erivative Se	ecuriti	es Aco	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Da any	Code Year) (Instr. 8)		(A) or of (D) and 5 (A) or)	Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial	
Emcore Common Stock	08/19/2011		Code V A	Amount 667 (<u>3)</u>		Price \$ 1.5		D		
Emcore Common Stock							20,021 (2)	I	By Trust 401(k)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
1 0	Director	10% Owner	Officer	Other			
Larocca Christopher M 10420 RESEARCH ROAD SE ALBUQUERQUE, NM 87123			Chief Operating Officer				
Signatures							

Date

Alfredo Gomez, 08/22/2011 attorney-in-fact

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 70,000 shares of restricted stock granted under the Company's 2010 Equity Incentive Plan, vesting in three equal installments beginning on January 28, 2012.
- (2) Shares attributable to EMCORE Corporation 401(k) account as of June 30, 2011.
- (3) Shares were purchased under the Company's Officer and Director Share Purchase Plan in a transaction exempt from Section 16b pursuant to Rule 16b-3(d).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.