Edgar Filing: Van Berkel Monica - Form 4

Van Berkel N	Aonica										
Form 4											
August 24, 2											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									OMB APPROVAL		
	UNITED	STATES		hington,			NGE U	UNINI1551UN	OMB Number:	3235-0287	
Check thi if no long							Expires:	January 31, 2005			
subject to STATEMENT OF Section 16. Form 4 or				SECUR	ITIES				Estimated a burden hou response	average rs per	
Form 5 obligation may cont <i>See</i> Instru 1(b).	inue. Section 17	(a) of the	Public Ut		ing Com	pany	Act of	e Act of 1934, 1935 or Section 0	n		
(Print or Type F	Responses)										
Van Berkel Monica Syn			Symbol	Name and			g	5. Relationship of Reporting Person(s) to Issuer			
E.				RE CORP	[EMKR]	J		(Check all applicable)			
(Last)	(First) (Middle)		Earliest Tra	ansaction			D:	100	0	
			(Month/D 08/22/20	nth/Day/Year) 22/2011				Director 10% Owner X Officer (give title Other (specify below) below) Chief Admin. Officer			
			endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 				
ALBUQUE	RQUE, NM 871	23						Form filed by M Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ties Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)		n Date, if	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)				
г				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Emcore Common Stock	08/22/2011			А	45,000 (2)	A	\$ 1.55	106,304 <u>(3)</u>	D		
Emcore Common Stock								16,893 <u>(1)</u>	Ι	By Trust 401(k)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying tities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Van Berkel Monica 10420 RESEARCH ROAD, SE ALBUQUERQUE, NM 87123			Chief Admin. Officer					
Signatures								

/s/ Monica Van 08/24/2011 Berkel

**Signature of

Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares attributable to EMCORE Corporation 401(k) account as of December 31, 2010.
- (2) Represents an annual grant of restricted stock units to the reporting person under the Company's 2010 Equity Incentive Plan, vesting in three equal annual installments beginning on August 22, 2013.
- (3) Includes 45,000 shares of restricted stock granted under the Company's 2010 Equity Incentive Plan, vesting in three equal annual installments beginning on January 28, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.