### Edgar Filing: HOU HONG Q - Form 4

HOU HONG O

Form 4	Q										
December 28	_								OMB A	PPROVAL	
FORM	SECURITIES AND EXCHANGE C Washington, D.C. 20549					COMMISSION	OMB Number: 3	3235-0287			
Check this box if no longer subject to Section 16. Form 4 or						~			Expires:	January 31 2005	
				SECURI	TIES			Estimated a burden hou response	average Irs per		
Form 5 obligation may conti <i>See</i> Instru- 1(b).	s Section 17(a	) of the l	Public Uti		ing Com	pany	Act o	ge Act of 1934, f 1935 or Sectio 40	on		
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> HOU HONG Q			2. Issuer Name <b>and</b> Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M	iddle)	EMCORE CORP [EMKR] 3. Date of Earliest Transaction				(Check all applicable)				
10420 RESEARCH ROAD, SE, ALBUQUERQUE, NM 87123			(Month/Day/Year) 12/23/2011					X Director 10% Owner X Officer (give title Other (specify below) below) CEO & President			
	(Street)			ndment, Data th/Day/Year)	e Original			6. Individual or J Applicable Line) _X_ Form filed by Form filed by P Person		erson	
(City)	(State) (	Zip)	Table	e I - Non-De	erivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or			)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Emoora				Code V	Amount	(D)	Price	(msu. 5 anu 4)			
Emcore Common Stock	12/23/2011			А	1,667 (3)	А	\$ 0.9	368,077 <u>(1)</u>	D		
Emcore Common Stock								22,689 <u>(2)</u>	Ι	By Trust 401(k)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
Reporting O when I tunie / Mutress	Director	10% Owner	Officer	Other			
HOU HONG Q 10420 RESEARCH ROAD, SE ALBUQUERQUE, NM 87123	Х		CEO & President				

## Signatures

Alfredo Gomez,	12/28/2011		
attorney-in-fact	12/20/201		
**Signature of Reporting Person	Date		

# \*\*Signature of Reporting Person Date Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 150,000 shares of restricted stock granted under the Company's 2010 Equity Incentive Plan, vesting in three equal annual
  (1) installments beginning on January 28, 2012 and 90,000 restricted stock units granted under the Company's 2010 Equity Incentive Plan and vesting in three equal annual installments beginning on August 22, 2012.
- (2) Shares attributable to EMCORE Corporation 401(k) account as of September 30, 2011.
- (3) Shares were purchased under the Company's Officer and Director Share Purchase Plan in a transaction exempt from Section 16b pursuant to Rule 16b-3(d).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.