Edgar Filing: Larocca Christopher M - Form 4

Larocca Chri	stopher M										
Form 4	0.011										
December 28										PPROVAL	
FORM 4 UNITED STATES SI				ECURITIES AND EXCHANGE CO Washington, D.C. 20549						3235-0287	
Check thi if no long subject to Section 10 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	6. Filed purs Section 17(a	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								Expires:January 31, 2005Estimated average burden hours per response0.5	
(Print or Type R	Responses)										
1. Name and Address of Reporting Person <u>*</u> Larocca Christopher M			2. Issuer Name and Ticker or Trading Symbol EMCORE CORP [EMKR]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (M	liddle)	3. Date of Earliest Transaction					(Che	(Check an applicable)		
10420 RESE	Е	(Month/Day/Year) 12/23/2011					Director 10% Owner Officer (give title Other (specify below) below) below) Chief Operating Officer				
	4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
ALBUQUEI	RQUE, NM 8712	3						Person		cporting	
(City)	(State) ((Zip)	Table	e I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any		CodeDisposed of (D)Beneficially(D) orYear)(Instr. 8)(Instr. 3, 4 and 5)OwnedIndirect (I)Following(Instr. 4)Reported(A)Transaction(s)(Instr. 3 and 4)							
Emcore Common Stock	12/23/2011			Code V A	Amount 1,112 (3)	(D) A	Price \$ 0.9	202,451 <u>(1)</u>	D		
Emcore Common Stock								20,599 <u>(2)</u>	Ι	By Trust 401(k)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Unde Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Larocca Christopher M 10420 RESEARCH ROAD SE ALBUQUERQUE, NM 87123			Chief Operating Officer					
Signatures								
Alfredo Gomez.								

attorney-in-fact 12/28/2011

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 70,000 shares of restricted stock granted under the Company's 2010 Equity Incentive Plan, vesting in three equal annual installments beginning on January 28, 2012; 50,000 restricted stock units granted under the Company's 2010 Equity Incentive Plan and

- (1) Instalments beginning on January 20, 2012, 50,000 restricted stock units granted under the Company's 2010 Equity incentive Plan and vesting in three equal annual installments beginning on August 22, 2012; and 48,000 restricted stock units vesting in four equal installments on February 14, 2012; December 6, 2012; December 6, 2013; and December 6, 2014.
- (2) Shares attributable to EMCORE Corporation 401(k) account as of September 30, 2011.
- (3) Shares were purchased under the Company's Officer and Director Share Purchase Plan in a transaction exempt from Section 16b pursuant to Rule 16b-3(d).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.