#### Edgar Filing: HENDERSON FREDERICK A - Form 4

#### HENDERSON FREDERICK A

Form 4

February 16, 2012

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287 January 31,

2005

0.5

if no longer

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: Estimated average burden hours per

**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5

obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading HENDERSON FREDERICK A Issuer Symbol SunCoke Energy, Inc. [SXC] (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year)

(Check all applicable)

1011 WARRENVILLE ROAD, SUITE 600

Director 10% Owner \_X\_ Other (specify Officer (give title below)

02/15/2012

below) Chairman & CEO

(Street)

(State)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

LISLE, IL 60532

(City)

(Instr. 3)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Indirect (I) Ownership Owned Following (Instr. 4) (Instr. 4) Reported

(A) or

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

28,000 D

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Common Stock Units (1)	<u>(2)</u>	02/15/2012		A	67,227	(3)	(3)	Common Stock	67,22
Stock Options (Right to Buy) (4)	\$ 14.28	02/15/2012		A	393,386	02/15/2013	02/15/2022	Common Stock	393,38

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

HENDERSON FREDERICK A 1011 WARRENVILLE ROAD SUITE 600 LISLE, IL 60532

Chairman & CEO

## **Signatures**

/s/ Jaime M. Lamana, attorney-in-fact 02/16/2012

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Grant of restricted common stock units pursuant to the Employment Letter Agreement, dated as of September 2, 2010 and amended as of May 25, 2011 (the "Letter Agreement"), made by Sunoco, Inc. and accepted by Frederick A. Henderson, and awarded under the SunCoke

- (1) Energy, Inc. Long-Term Performance Enhancement Plan (the "LTPEP"), in a transaction exempt under Rule 16b-3. These restricted share units will vest subject to continued employment, in equal one-fourth installments on the first, second, third and fourth anniversaries of the date of grant.
- (2) Conversion rate is 1 for 1.
- (3) Not applicable.

Grant of stock options (right to buy SunCoke Energy, Inc. common stock) pursuant to the Letter Agreement and awarded under the

(4) LTPEP, in a transaction exempt under Rule 16b-3. These stock options are exercisable in one-third installments on the anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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