Edgar Filing: Gomez Alfredo - Form 4

Gomez Alfre Form 4	cdo										
May 08, 2012	2										
FORM	14_{UNITEDS}	ТАТЕС	SECUD	ITIES A	ND FY(יערי	NCE	COMMISSION	r	PPROVAL	
Chaolt th		IAIES		hington,			NGE	20101101155101	OMB Number:	3235-0287	
Check thi if no long			a a a a					Expires:	January 31, 2005		
subject to Section 1 Form 4 or Form 5			SECUR	ITIES			NERSHIP OF	Estimated a burden hou response	average rs per		
obligation may conti <i>See</i> Instru 1(b).	ns Section 17(a) of the I	Public Ut		ing Com	ipany	Act of	e Act of 1934, f 1935 or Sectio 40	'n		
(Print or Type R	Responses)										
1. Name and Address of Reporting Person <u>*</u> Gomez Alfredo			2. Issuer Name and Ticker or Trading Symbol EMCORE CORP [EMKR]					5. Relationship of Reporting Person(s) to Issuer			
					-	J		(Check all applicable)			
(Last) (First) (Middle) 10420 RESEARCH ROAD SE			3. Date of Earliest Transaction (Month/Day/Year) 05/04/2012					Director 10% Owner Officer (give title Other (specify below) Gen. Counsel and Corp. Sec.			
			4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
ALBUQUE	RQUE, NM 8712.	3						Person		porting	
(City)	(State) (Zip)	Table	e I - Non-D	erivative S	Securi	ities Acc	quired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any		3. Transactic Code (Instr. 8)		ispose	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Emcore				Code V	Amount	(D)	Price	(msu: 5 and 4)			
Common Stock	05/04/2012			S <u>(1)</u>	1,583	D	\$ 5.05	24,161 <u>(2)</u>	D		
Emcore Common Stock								1,783 <u>(3)</u>	Ι	By Trust 401(k)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Gomez Alfredo - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Gomez Alfredo 10420 RESEARCH ROAD SE ALBUQUERQUE, NM 87123			Gen. Counsel and Corp. Sec.					
Signatures								

/s/ Alfredo 05/07/2012 Gomez

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

All sales were effected to satisfy the Reporting Person's tax liability in connection with the vesting of shares of restricted stock that were (1) previously granted under the Company's 2010 Equity Incentive Plan, which initial grant was exempt from Section 16b pursuant to Rule 16b-3(d).

Includes 8,333 shares of restricted stock vesting as follows: 4,167 shares of restricted stock will vest on January 28, 2012 and 4,166
(2) shares of restricted stock will vest on January 28, 2013; and 8,334 shares of restricted stock vesting in two equal annual installments beginning on May 3, 2013.

(3) Shares attributable to EMCORE Corporation 401(k) account as of March 31, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.