

WATERS CORP /DE/
Form 4
October 28, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ORNELL JOHN A

(Last) (First) (Middle)
34 MAPLE STREET
(Street)
MILFORD, MA 01757
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
WATERS CORP /DE/ [WAT]

3. Date of Earliest Transaction
(Month/Day/Year)
10/24/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)
CFO

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	10/24/2013	10/24/2013	M		40,000 A \$ 49.31	43,004	D
Common Stock	10/24/2013	10/24/2013	S		40,000 D \$ 100.9636	3,004	D
Common Stock	10/24/2013	10/24/2013	M		32,000 A \$ 41.2	35,004	D
Common Stock	10/24/2013	10/24/2013	S		32,000 D \$ 101.1005	3,004	D
Common Stock	10/24/2013	10/24/2013	M		34,000 A \$ 77.94	37,004	D

Edgar Filing: WATERS CORP /DE/ - Form 4

Common Stock	10/24/2013	10/24/2013	S	34,000	D	\$ 101.0674	3,004	D	
Common Stock	10/24/2013	10/24/2013	M	12,000	A	\$ 79.15	15,004	D	
Common Stock	10/24/2013	10/24/2013	S	12,000	D	\$ 100.9225	3,004	D	
Common Stock	10/24/2013	10/24/2013	M	24,000	A	\$ 79.05	27,004	D	
Common Stock	10/24/2013	10/24/2013	S	24,000	D	\$ 100.9101	3,004	D	
Common Stock	10/24/2013	10/24/2013	M	30,000	A	\$ 59.44	33,004	D	
Common Stock	10/24/2013	10/24/2013	S	30,000	D	\$ 100.9086	3,004	D	
Common Stock							6,347.18	I	By 401k Plan
Common Stock							5,706.1191	I	By Esppt

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Stock Option (Right to Buy)	\$ 49.31	10/24/2013	10/24/2013	M	40,000	12/13/2007	12/13/2016	Common Stock	40,000
Stock Option (Right to Buy)	\$ 41.2	10/24/2013	10/24/2013	M	32,000	12/10/2009	12/10/2018	Common Stock	32,000

Buy) Stock Option (Right to Buy)	\$ 77.94	10/24/2013	10/24/2013	M	34,000	12/11/2008	12/11/2017	Common Stock	34,000
Stock Option (Right to Buy)	\$ 79.15	10/24/2013	10/24/2013	M	12,000	12/07/2012	12/07/2021	Common Stock	12,000
Stock Option (Right to Buy)	\$ 79.05	10/24/2013	10/24/2013	M	24,000	12/09/2011	12/09/2020	Common Stock	24,000
Stock Option (Right to Buy)	\$ 59.44	10/24/2013	10/24/2013	M	30,000	12/09/2010	12/09/2019	Common Stock	30,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ORNELL JOHN A 34 MAPLE STREET MILFORD, MA 01757			CFO	

Signatures

/s/ John Ornell 10/28/2013
 **Signature of Date
 Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.