

AMERICAN EXPRESS CO
Form 3
October 02, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â O'Neill Michael John		(Month/Day/Year)	AMERICAN EXPRESS CO [AXP]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
200 VESEY STREET			(Check all applicable)	
(Street)			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) EVP, Corp Affairs & Comm	
NEW YORK, Â NY Â 10285-5001			6. Individual or Joint/Group Filing(Check Applicable Line)	
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	25,061	D	Â
Common Stock	989	I	by 401(k) Plan ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
--	--	---	---	---	---

Edgar Filing: AMERICAN EXPRESS CO - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	01/25/2008 ⁽²⁾	01/25/2017	Common Stock	16,600	\$ 57.77	D	Â
Employee Stock Option (Right to Buy)	01/31/2009 ⁽³⁾	01/31/2018	Common Stock	37,500	\$ 49.13	D	Â
Employee Stock Option (Right to Buy)	01/29/2010 ⁽³⁾	01/29/2019	Common Stock	8,379	\$ 16.71	D	Â
Employee Stock Option (Right to Buy)	01/26/2011 ⁽³⁾	01/26/2020	Common Stock	15,749	\$ 38.1	D	Â
Employee Stock Option (Right to Buy)	01/27/2012 ⁽⁴⁾	01/27/2021	Common Stock	13,472	\$ 44.54	D	Â
Employee Stock Option (Right to Buy)	10/30/2013	10/30/2019	Common Stock	43,054	\$ 34.84	D	Â
Employee Stock Option (Right to Buy)	01/24/2013 ⁽⁴⁾	01/24/2022	Common Stock	12,188	\$ 49.23	D	Â
Phantom Stock Units	Â ⁽⁵⁾	Â ⁽⁵⁾	Common Stock	635	\$ ⁽⁶⁾	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
O'Neill Michael John 200 VESEY STREET NEW YORK, NY 10285-5001	Â	Â	Â EVP, Corp Affairs & Comm	Â

Signatures

/s/ Michael G. Kuchs,
attorney-in-fact

10/01/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held in reporting person's account under the Company's Retirement Savings Plan. This plan uses unit accounting, and the number of shares that a participant is deemed to hold varies with the unit price of the Company pooled stock fund.
- (2) 7,450 of these options became exercisable on January 25, 2010 and 9,150 of these options became exercisable on January 25, 2011.
- (3) These options became exercisable in four equal annual installments beginning on date shown as "Date Exercisable."
- (4) These options become exercisable in four equal annual installments beginning on the date shown as "Date Exercisable."
- (5) The units are to be settled following the reporting person's retirement or other termination of service.
- (6) The phantom stock converts into common stock on a one-for-one basis.

Â

Remarks:

Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.