

JOHNSON CONTROLS INC  
Form 3  
January 07, 2015

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â Williams Jeffrey M		(Month/Day/Year)	JOHNSON CONTROLS INC [JCI]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
5757 N GREEN BAY AVE			(Check all applicable)	
(Street)			<input type="checkbox"/> Director	<input type="checkbox"/> 10% Owner
MILWAUKEE,Â WIÂ 53209			<input checked="" type="checkbox"/> Officer	<input type="checkbox"/> Other
(City)	(State)	(Zip)	(give title below)	(specify below)
			VP, Enterprise Ops & Enginrg	6. Individual or Joint/Group Filing(Check Applicable Line)
				<input checked="" type="checkbox"/> Form filed by One Reporting Person
				<input type="checkbox"/> Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	59,921.795	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	

Edgar Filing: JOHNSON CONTROLS INC - Form 3

				Shares		(I) (Instr. 5)	
Phantom Stock Units - Restricted Stock Plan	Â (1)	Â (1)	Common Stock	1,786.752	\$ (2)	D	Â
Employee Stock Option (Right to Buy)	10/01/2009	10/01/2017	Common Stock	36,000	\$ 40.21	D	Â
Employee Stock Option (Right to Buy)	10/01/2010	10/01/2018	Common Stock	24,000	\$ 28.79	D	Â
Employee Stock Option (Right to Buy)	10/01/2012	10/01/2020	Common Stock	35,000	\$ 30.54	D	Â
Employee Stock Option (Right to Buy)	10/07/2013	10/07/2021	Common Stock	28,500	\$ 28.54	D	Â
Employee Stock Option (Right to Buy)	10/05/2014(3)	10/05/2022	Common Stock	36,700	\$ 27.85	D	Â
Employee Stock Option (Right to Buy)	11/19/2015(3)	11/19/2023	Common Stock	17,687	\$ 48.37	D	Â
Employee Stock Option (Right to Buy)	11/18/2016(3)	11/18/2024	Common Stock	19,305	\$ 50.23	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Williams Jeffrey M 5757 N GREEN BAY AVE MILWAUKEE, WI 53209	Â	Â	Â VP, Enterprise Ops & Enginrg	Â

## Signatures

/s/ Angela M. Blair, Attorney-in-fact for Mr. Williams 01/07/2015

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The phantom stock units accrue under the Johnson Controls Restricted Stock Plan. The balance includes dividend equivalent units that settle 100% in cash and relate to restricted stock awards.
- (2) Each unit of phantom stock is the economic equivalent of one share of Johnson Controls common stock.
- (3) Fifty percent of the stock options vest after two years, and the remaining fifty percent vest after three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.