

PRICE T ROWE GROUP INC

Form 4

September 11, 2015

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
ROGERS BRIAN C

2. Issuer Name **and** Ticker or Trading
Symbol
PRICE T ROWE GROUP INC
[TROW]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

T. ROWE PRICE GROUP,
INC., P.O. BOX 89000

3. Date of Earliest Transaction
(Month/Day/Year)
09/09/2015

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
COB, CIO & Vice President

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

BALTIMORE, MD 21289-0320

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------------|---|---|---|--|--|--|---|
| | | | Code | V | Amount (1) | (A) or (D) | Price |
| Common Stock | 09/09/2015 | | A | | 4,500 | A | \$ 0 |
| | | | | | 2,169,110 | D | |
| Common Stock | | | | | 150,000 | I | 2012 Rogers Family Trust |
| Common Stock | | | | | 200,000 | I | Spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|---|--|---|---|--------------------------------------|--|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Stock Options (Right to Buy) | \$ 44.3716 | | | | | 11/01/2007 ⁽²⁾ 11/01/2016 | Common Stock 101,728 |
| Stock Options (Right to Buy) | \$ 48.0508 | | | | | 09/06/2008 ⁽⁴⁾ 09/06/2017 | Common Stock 102,057 |
| Stock Options (Right to Buy) | \$ 54.8329 | | | | | 09/04/2009 ⁽⁵⁾ 09/04/2018 | Common Stock 102,293 |
| Stock Options (Right to Buy) | \$ 43.3725 | | | | | 11/01/2010 ⁽⁶⁾ 09/10/2019 | Common Stock 52,047 |
| Stock Options (Right to Buy) | \$ 47.6474 | | | | | 11/01/2011 ⁽⁷⁾ 02/18/2020 | Common Stock 52,047 |
| Stock Options (Right to Buy) | \$ 45.7933 | | | | | 11/01/2011 ⁽⁸⁾ 09/08/2020 | Common Stock 52,048 |
| Stock Options (Right to Buy) | \$ 67.5613 | | | | | 11/01/2012 ⁽⁹⁾ 02/17/2021 | Common Stock 52,048 |

| | | | | | | |
|------------------------------|------------|----------------------------|------------|--------------|--------|--|
| Buy) | | | | | | |
| Stock Options (Right to Buy) | \$ 48.56 | 11/01/2012 ⁽¹⁰⁾ | 09/08/2021 | Common Stock | 52,048 | |
| Stock Options (Right to Buy) | \$ 59.0693 | 12/10/2013 ⁽¹¹⁾ | 02/23/2022 | Common Stock | 26,023 | |
| Stock Options (Right to Buy) | \$ 60.7984 | 12/10/2013 ⁽¹²⁾ | 09/06/2022 | Common Stock | 23,421 | |
| Stock Options (Right to Buy) | \$ 69.6708 | 12/10/2014 ⁽¹³⁾ | 02/21/2023 | Common Stock | 23,060 | |
| Stock Options (Right to Buy) | \$ 70.2854 | 12/10/2014 ⁽¹⁵⁾ | 09/10/2023 | Common Stock | 16,399 | |
| Stock Options (Right to Buy) | \$ 77.9443 | 12/10/2015 ⁽¹⁶⁾ | 02/19/2024 | Common Stock | 17,936 | |
| Stock Options (Right to Buy) | \$ 78.4418 | 12/10/2015 ⁽¹⁷⁾ | 09/09/2024 | Common Stock | 15,374 | |
| Stock Options (Right to Buy) | \$ 80.9492 | 12/09/2016 ⁽¹⁸⁾ | 02/19/2025 | Common Stock | 12,811 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| ROGERS BRIAN C T. ROWE PRICE GROUP, INC. P.O. BOX 89000 BALTIMORE, MD 21289-0320 | X | | COB, CIO & Vice President | |

Signatures

/s/ Brian c.
Rogers

09/10/2015

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On September 09, 2015, the Executive Compensation Committee certified that the performance threshold on certain performance-based restricted stock awards were met. The awards are settled in shares of common stock upon vesting. The awards vest in five equal annual installments beginning on December 10, 2015.

(2) 11/01/2006 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2007.

(3) As required under the relevant plan and option agreements, the strike price and number of shares subject to outstanding stock options were adjusted by 1.56% on December 13, 2012, and by 2.5% on April 7, 2015, the ex-dividend dates, as a result of special dividends that were declared by T. Rowe Price Group, Inc.

(4) 09/06/2007 Grant - The option vests 20% annually over a 5 year period beginning on September 06, 2008.

(5) 09/04/2008 Grant - The option vests 20% annually over a 5 year period beginning on 09/04/2009.

(6) 09/10/2009 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2010.

(7) 02/18/2010 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2011.

(8) 09/08/2010 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2011.

(9) 02/17/2011 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2012.

(10) 09/08/2011 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2012.

(11) 02/23/2012 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2013.

(12) 09/06/2012 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2013.

(13) 02/21/2013 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2014.

(14) As required under the relevant plan and option agreements, the strike price and number of shares subject to outstanding stock options were adjusted by 2.5% on April 7, 2015, the ex-dividend date, as a result of a special dividend that was declared by T. Rowe Price Group, Inc.

(15) 09/10/2013 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2014.

(16) 02/19/2014 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2015.

(17) 09/09/2014 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2015.

(18) 02/19/2015 Grant - The option vests 20% annually over a 5 year period beginning on 12/09/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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