

CORNING INC /NY  
Form 3  
January 06, 2016

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â Ferrero Lisa		(Month/Day/Year)	CORNING INC /NY [GLW]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
ONE RIVERFRONT PLAZA			(Check all applicable)	
(Street)			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below)    (specify below)	
CORNING,Â NYÂ 14831			Senior Vice President & CAO 6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person	
(City)	(State)	(Zip)		

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	0	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	

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				Shares		(I) (Instr. 5)	
Restricted Stock Unit	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	15,941	\$ <u>(2)</u>	D	Â
Restricted Stock Unit	Â <u>(3)</u>	Â <u>(3)</u>	Common Stock	12,008	\$ <u>(2)</u>	D	Â
Restricted Stock Unit	Â <u>(4)</u>	Â <u>(4)</u>	Common Stock	11,023	\$ <u>(2)</u>	D	Â
Stock Options (Right to Buy)	12/06/2007	12/05/2016	Common Stock	15,000	\$ 21.89	D	Â
Stock Options (Right to Buy)	07/18/2008	07/17/2017	Common Stock	500	\$ 26.73	D	Â
Stock Options (Right to Buy)	12/05/2008	12/04/2017	Common Stock	18,000	\$ 24.92	D	Â
Stock Options (Right to Buy)	01/02/2009	01/01/2017	Common Stock	7,500	\$ 18.85	D	Â
Stock Options (Right to Buy)	02/01/2009	01/31/2016	Common Stock	8,250	\$ 24.72	D	Â
Stock Options (Right to Buy)	01/02/2010	01/01/2018	Common Stock	9,000	\$ 23.37	D	Â
Stock Options (Right to Buy)	02/01/2010	01/31/2017	Common Stock	7,500	\$ 20.86	D	Â
Stock Options (Right to Buy)	12/02/2010 <sup>(5)</sup>	12/02/2019	Common Stock	8,333	\$ 17.82	D	Â
Stock Options (Right to Buy)	01/04/2011 <sup>(6)</sup>	01/04/2020	Common Stock	8,333	\$ 19.56	D	Â
Stock Options (Right to Buy)	02/01/2011	01/31/2018	Common Stock	9,000	\$ 24.61	D	Â
Stock Options (Right to Buy)	02/01/2011 <sup>(7)</sup>	02/01/2020	Common Stock	8,334	\$ 18.16	D	Â
Stock Options (Right to Buy)	01/03/2012 <sup>(8)</sup>	01/03/2021	Common Stock	8,203	\$ 19.19	D	Â
Stock Options (Right to Buy)	02/01/2012 <sup>(9)</sup>	02/01/2021	Common Stock	6,937	\$ 22.69	D	Â
Stock Options (Right to Buy)	03/01/2012 <sup>(10)</sup>	03/01/2021	Common Stock	7,145	\$ 22.03	D	Â
Stock Options (Right to Buy)	03/28/2016	03/28/2023	Common Stock	15,182	\$ 13.33	D	Â
Stock Options (Right to Buy)	04/30/2016	04/30/2023	Common Stock	13,957	\$ 14.5	D	Â
	05/31/2016	05/31/2023		13,167	\$ 15.37	D	Â

Stock Options (Right to Buy)			Common Stock				
Stock Options (Right to Buy)	03/31/2017	03/31/2024	Common Stock	6,004	\$ 20.82	D	Â
Stock Options (Right to Buy)	04/30/2017	04/30/2024	Common Stock	5,978	\$ 20.91	D	Â
Stock Options (Right to Buy)	05/30/2017	05/30/2024	Common Stock	5,869	\$ 21.3	D	Â
Stock Options (Right to Buy)	03/31/2018	03/31/2025	Common Stock	5,511	\$ 22.68	D	Â
Stock Options (Right to Buy)	04/30/2018	04/30/2025	Common Stock	5,972	\$ 20.93	D	Â
Stock Options (Right to Buy)	05/29/2018	05/29/2025	Common Stock	5,975	\$ 20.92	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Ferrero Lisa ONE RIVERFRONT PLAZA CORNING, NY 14831	Â	Â	Â Senior Vice President & CAO	Â

## Signatures

Linda E. Jolly, Power of Attorney  
01/06/2016

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - (1) The Restricted Stock Units vest 100% on April 18, 2016. Vested shares will be delivered to the reporting person within thirty (30) days after April 18, 2016. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to April 18, 2016.
  - (2) Each restricted stock unit represents a contingent right to receive one share of Corning Incorporated Common Stock.
  - (3) The Restricted Stock Units vest 100% on April 17, 2017. Vested shares will be delivered to the reporting person within thirty (30) days after April 17, 2017. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to April 17, 2017.
  - (4) The Restricted Stock Units vest 100% on April 16, 2018. Vested shares will be delivered to the reporting person within thirty (30) days after April 16, 2018. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to April 16, 2018.
  - (5) The options vested in three installments beginning on December 2, 2010.
  - (6) The options vested in three installments beginning on January 4, 2011.
  - (7) The options vested in three installments beginning on February 1, 2011.

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- (8) The options vested in three installments beginning on January 3, 2012.
- (9) The options vested in three installments beginning on February 1, 2012.
- (10) The options vested in three installments beginning on March 1, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.