

WATERS CORP /DE/

Form 4

August 02, 2016

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Cassis Eugene G

(Last) (First) (Middle)

34 MAPLE STREET

(Street)

MILFORD, MA 01757

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
WATERS CORP /DE/ [WAT]

3. Date of Earliest Transaction
(Month/Day/Year)
08/01/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

Chief Financial Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	08/01/2016	08/01/2016	M		12,000	A	\$ 79.15	40,674	D
Common Stock	08/01/2016	08/01/2016	M		9,000	A	\$ 87.06	49,674	D
Common Stock	08/01/2016	08/01/2016	M		12,000	A	\$ 59.44	61,674	D
Common Stock	08/01/2016	08/01/2016	M		15,000	A	\$ 79.05	76,674	D
Common Stock	08/01/2016	08/01/2016	S		12,000	D	\$ 160.06	64,674	D

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Common Stock	08/01/2016	08/01/2016	S	9,000	D	\$ 160.16	55,674	D	
Common Stock	08/01/2016	08/01/2016	S	12,000	D	\$ 159.8	43,674	D	
Common Stock	08/01/2016	08/01/2016	S	15,000	D	\$ 159.9	28,674	D	
Common Stock							1,964.9885	I	by ESPP
Common Stock							6,386	I	by Wife
Common Stock							2,096.85	I	by Wife's 401k
Common Stock							1,083.8066	I	by Wife's ESPP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 79.15	08/01/2016	08/01/2016	M		12,000		12/07/2012	12/07/2021	Common Stock	12,000
Stock Option (Right to Buy)	\$ 87.06	08/01/2016	08/01/2016	M		9,000		12/11/2013	12/11/2022	Common Stock	9,000
Stock Option (Right to Buy)	\$ 59.44	08/01/2016	08/01/2016	M		12,000		12/09/2010	12/09/2019	Common Stock	12,000

Buy)

Stock

Option
(Right to

\$ 79.05

08/01/2016

08/01/2016

M

15,000

12/09/2011

12/09/2020

Common
Stock

15,000

Buy)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Cassis Eugene G 34 MAPLE STREET MILFORD, MA 01757			Chief Financial Officer	

Signatures

/s/ Eugene G.
Cassis

08/02/2016

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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