Edgar Filing: AMERISOURCEBERGEN CORP - Form 4

AMERISOURCEBERGEN CORP Form 4 November 14, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Guttman Tim G. Issuer Symbol AMERISOURCEBERGEN CORP (Check all applicable) [ABC] 3. Date of Earliest Transaction (Last) (First) (Middle) Director 10% Owner _X__Officer (give title Other (specify (Month/Day/Year) below) below) 1300 MORRIS DRIVE 11/09/2016 Chief Financial Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting CHESTERBROOK, PA 19087 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) any Code (D) Beneficially (D) or Beneficial Indirect (I) Ownership (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 11/11/2016 Α 14,893 Α (1) 34,261.4108 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of 6. Date H Deperivative Expirate Securities (Month/ Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		, 6		Securiti
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Non-qualified Stock Option (Right to Buy)	\$ 75.61	11/09/2016		А	73,801	(2)	11/09/2023	Common Stock	73,8
Restricted Stock Units	<u>(3)</u>	11/09/2016		А	6,613	<u>(3)</u>	11/09/2023	Common Stock	6,61

Edgar Filing: AMERISOURCEBERGEN CORP - Form 4

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Guttman Tim G. 1300 MORRIS DRIVE CHESTERBROOK, PA 19087			Chief Financial Officer			
Signatures						
John G. Chou for Tim G. Guttman		11/14/2016				
**Signature of Reporting Person		Date				

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares were received for no consideration upon the satisfaction of performance criteria underlying an award of performance share units.

(2) Exercisable in four equal annual installments on 11/9/17, 11/9/18, 11/9/19 and 11/9/20

(3) Annual grant of restricted stock units that vests 100% on the third anniversary of the date of grant for no consideration.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.