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CABOT MICROELECTRONICS CORP

Form 4 March 08, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB

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Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person * REILLY PAUL J

(First)

(Ctata)

(Middle)

2. Issuer Name and Ticker or Trading

Symbol

CABOT MICROELECTRONICS CORP [CCMP]

3. Date of Earliest Transaction

(Month/Day/Year) 03/07/2017

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

_X__ Director 10% Owner Officer (give title _ Other (specify below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

GARDEN CITY, NY 11530

21 OSBORNE ROAD

| | (City) | (State) (2 | Table Table | I - Non-De | erivative S | Securi | ties Ac | quired, Disposed | of, or Beneficial | lly Owned |
|-----|--------------|---------------------|--------------------|------------|---------------------|--------|--------------|------------------|-------------------|--------------|
| 1.7 | Title of | 2. Transaction Date | 2A. Deemed | 3. | 4. Securi | ties | | 5. Amount of | 6. Ownership | 7. Nature of |
| Se | curity | (Month/Day/Year) | Execution Date, if | Transactio | onAcquired (A) or | | | Securities | Form: Direct | Indirect |
| (In | str. 3) | | any | Code | Disposed of (D) | | Beneficially | (D) or | Beneficial | |
| | | | (Month/Day/Year) | (Instr. 8) | (Instr. 3, 4 and 5) | | Owned | Indirect (I) | Ownership | |
| | | | | | | | | Following | (Instr. 4) | (Instr. 4) |
| | | | | | | (4) | | Reported | | |
| | | | | | | (A) | | Transaction(s) | | |
| | | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | |
| | ommon ock | 03/07/2017 | | A | 1,352 (1) | A | \$0 | 1,352 | D | |
| | ommon ock | 03/07/2017 | | A | 1,352 (2) | A | \$0 | 2,704 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|----------------------|----------------------------------------------------------|--------------------|---------------------------------------------------------------|----------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Options (Right to Buy) | \$ 69.44 | 03/07/2017 | | A | 4,811 (<u>3)</u> | 03/07/2018 | 03/07/2027 | Common Stock | 4,811 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|
| reporting owner rune, runess | Director | 10% Owner | Officer | Other | | |
| REILLY PAUL J | | | | | | |
| 21 OSBORNE ROAD | X | | | | | |
| GARDEN CITY, NY 11530 | | | | | | |

Signatures

/s/ H. Carol Bernstein (Power of Attorney) 03/08/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- $\textbf{(1)} \quad \text{Directors' Initial Restricted Stock Unit award vesting schedule: } 25\%\ 3/7/2018; 25\%\ 3/7/2019; 25\%\ 3/7/2020; 25\%\ 3/7/2021.$
- (2) Directors' Annual (2017) Restricted Stock Unit Award pursuant to 2012 Omnibus Incentive Plan ("OIP); 100% will vest on 3/7/2018.
- (3) Directors' Annual (2017) Non-Qualified Stock Option Grant pursuant to 2012 Omnibus Incentive Plan ("OIP); 100% will vest on 3/7/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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