Sorenson Christa L Form 4 October 30, 2018

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Numbers 3235-0287

Check this box if no longer

Number: January 31, 2005

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated avoid burden hours

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Ad<br>Sorenson Chr          |          | orting Person * | 2. Issuer Name <b>and</b> Ticker or Trading Symbol EQUITY RESIDENTIAL [EQR] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                          |  |  |
|---|----------|-----------------|---|---|--|--|
| (Last) (First) (Mide                    |          | (Middle)        | 3. Date of Earliest Transaction   | (Check an applicable)   |  |  |
| TWO NORTH RIVERSIDE<br>PLAZA, SUITE 400 |          |                 | (Month/Day/Year)<br>10/29/2018  | Director 10% Owner Superior Other (specify below) Below)  Executive Vice President                |  |  |
|   | (Street) |                 | 4. If Amendment, Date Original Filed(Month/Day/Year)                        | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person |  |  |
| CHICAGO, I                              | L 60606  |                 |   | Form filed by More than One Reporting Person  |  |  |

|   | (City)  | (State) (Z                              | Table   | I - Non-De                              | rivative S  | ecurit           | ies Acq  | uired, Disposed o  | f, or Beneficial | ly Owned       |
|---|---|---|---|---|---|------------------|--|--|------------------|----------------|
| 5 | Title of Security Instr. 3)                   | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) |                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                  |                |
|   |   |   |   | Code V                                  | Amount  | (A)<br>or<br>(D) | Price  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                       |                  |                |
| [ | Common<br>Shares Of<br>Beneficial<br>Interest | 10/29/2018                              |   | M                                       | 2,408   | A                | \$<br>28.1   | 7,796 (1)  | D                |                |
| 3 | Common<br>Shares Of<br>Beneficial<br>Interest | 10/29/2018                              |   | S                                       | 2,408   | D                | \$ 66  | 5,388 (1)  | D                |                |
| ] | Common<br>Shares Of<br>Beneficial<br>Interest |   |   |   |   |                  |  | 235 (2)  | I                | 401(k)<br>Plan |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | Cransaction Derivative Expiration Date Code Securities (Month/Day/Year) Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, |                     | e                  | 7. Title and Amoun Underlying Securiti (Instr. 3 and 4) |                                |  |
|---|---|---|---|--|---|---------------------|--------------------|---|--------------------------------|--|
|   |   |   |   | Code V                                 | and 5) (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title   | Amo<br>or<br>Num<br>of<br>Shar |  |
| Non-qualified<br>Stock Option<br>(Right to Buy)     | \$ 28.1   | 10/29/2018                              |   | M                                      | 2,408   | 02/05/2013          | 02/05/2020         | Common<br>Shares Of<br>Beneficial<br>Interest           | 2,4                            |  |

#### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Sorenson Christa L TWO NORTH RIVERSIDE PLAZA, SUITE 400 CHICAGO, IL 60606

**Executive Vice President** 

#### **Signatures**

s/ By: Scott J. Fenster,

Attorney-in-fact 10/30/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Direct total includes restricted shares of Equity Residential scheduled to vest in the future.

Represents shares acquired through profit sharing contributions and dividend reinvestment activity in the reporting person's account with (2) the Equity Residential Advantage 401(k) Retirement Savings Plan, a plan qualified under Section 401(k) of the Internal Revenue Code of 1986, as amended. Such shares represent acquisitions through October 15, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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