

RANKIN ALISON A  
Form 4  
November 06, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN ALISON A

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5875 LANDERBROOK DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/02/2018

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  Other (specify below)  
Member of a Group

MAYFIELD HEIGHTS, OH 44124  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)                          |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  | Code                           | V Amount (A) or (D) Price   |   |  | Reporting Person serves as Trustee of a Trust for the benefit of Alison Rankin |
| Class A Common Stock            | 11/02/2018                           |  | P                              | 66 <sup>(1)</sup> A \$ 63.5                                       | 6,524   | I  | Reporting Person serves as Trustee of a Trust for the benefit of Alison Rankin |
| Class A Common Stock            | 11/02/2018                           |  | P                              | 1 <sup>(1)</sup> A \$ 63.5  | 57  | I  | spouse's interest in shares of Rankin Associates V held by                     |

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|                            |            |   |               |   |               |        |   |   |
|----------------------------|------------|---|---------------|---|---------------|--------|---|---|
| Class A<br>Common<br>Stock | 11/02/2018 | P | 88 <u>(1)</u> | A | \$ 63.5       | 18,304 | I | Rankin<br>Management,<br>Inc. ("RMI")<br><br>Spouse serves<br>as Trustee of<br>GSTs for the<br>benefit of A.<br>Farnham<br>Rankin |
| Class A<br>Common<br>Stock | 11/02/2018 | P | 88 <u>(1)</u> | A | \$ 63.5       | 18,304 | I | Spouse serves<br>as Trustee of<br>GSTs for the<br>benefit of<br>Elisabeth M.<br>Rankin  |
| Class A<br>Common<br>Stock | 11/02/2018 | P | 1 <u>(1)</u>  | A | \$ 63.5       | 190    | I | Spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI   |
| Class A<br>Common<br>Stock | 11/02/2018 | P | 1 <u>(1)</u>  | A | \$ 63.5       | 190    | I | Proportionate<br>interest in<br>shares held in<br>Rankin<br>Associates VI   |
| Class A<br>Common<br>Stock | 11/02/2018 | P | 1 <u>(1)</u>  | A | \$ 63.5       | 189    | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI<br><u>(2)</u>                                |
| Class A<br>Common<br>Stock | 11/02/2018 | P | 1 <u>(1)</u>  | A | \$ 63.5       | 189    | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI<br><u>(3)</u>                                |
| Class A<br>Common<br>Stock | 11/05/2018 | P | 62 <u>(1)</u> | A | \$<br>64.9841 | 6,586  | I | Reporting<br>Person serves<br>as Trustee of<br>a Trust for the<br>benefit of<br>Alison Rankin                                     |
|                            | 11/05/2018 | P | 1 <u>(1)</u>  | A |               | 227    | I |   |

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|                            |            |   |               |   |                        |        |   |   |
|----------------------------|------------|---|---------------|---|------------------------|--------|---|---|
| Class A<br>Common<br>Stock |            |   |               |   | \$ 64.98<br><u>(4)</u> |        |   | Spouse's<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates V                                 |
| Class A<br>Common<br>Stock | 11/05/2018 | P | 1 <u>(1)</u>  | A | \$ 64.98<br><u>(4)</u> | 65     | I | spouse's<br>interest in<br>shares of<br>Rankin<br>Associates VI<br>held by<br>Rankin<br>Management,<br>Inc. ("RMI")   |
| Class A<br>Common<br>Stock | 11/05/2018 | P | 82 <u>(1)</u> | A | \$ 64.98<br><u>(4)</u> | 18,386 | I | Spouse serves<br>as Trustee of<br>GSTs for the<br>benefit of A.<br>Farnham<br>Rankin                                  |
| Class A<br>Common<br>Stock | 11/05/2018 | P | 82 <u>(1)</u> | A | \$ 64.98<br><u>(4)</u> | 18,386 | I | Spouse serves<br>as Trustee of<br>GSTs for the<br>benefit of<br>Elisabeth M.<br>Rankin                                |
| Class A<br>Common<br>Stock |            |   |               |   |                        | 54,147 | I | proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates I,<br>L.P <u>(5)</u> |
| Class A<br>Common<br>Stock |            |   |               |   |                        | 29,986 | I | Reporting<br>Person's<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II.                  |
| Class A<br>Common<br>Stock |            |   |               |   |                        | 200    | I | Reporting<br>person's<br>spouse serves<br>as Trustee for<br>the benefit of  |

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|                            |        |   |  |
|----------------------------|--------|---|--|
| Class A<br>Common<br>Stock | 765    | I | Elisabeth Rankin<br>Reporting person serves as co-trustee of trust fbo Elisabeth M. Rankin       |
| Class A<br>Common<br>Stock | 20,119 | I | Minor child's trust's proportionate interests in shares held by Rankin Associates II. <u>(5)</u> |
| Class A<br>Common<br>Stock | 1,851  | I | Co-Trustee for the benefit of Reporting Person's daughter. <u>(5)</u>                            |
| Class A<br>Common<br>Stock | 1,209  | I | Spouse serves as Trustee for the benefit of A. Farnham Rankin                                    |
| Class A<br>Common<br>Stock | 17,987 | I | Minor child's trust's proportionate interests in shares held by Rankin Associates II. <u>(5)</u> |
| Class A<br>Common<br>Stock | 3,738  | I | Co-Trustee for the benefit of Reporting Person's daughter. <u>(5)</u>                            |
| Class A<br>Common<br>Stock | 19     | I | Spouse's proportionate general partnership interest shares held by RAIV. <u>(5)</u>              |
|                            | 3,448  | I |  |

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|                            |  |  |  |         |   |  |  |
|----------------------------|--|--|--|---------|---|--|--|
| Class A<br>Common<br>Stock |  |  |  |         |   |  | Spouse's<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates I.<br><u>(5)</u>               |
| Class A<br>Common<br>Stock |  |  |  | 4,693   | I |  | spouse's<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>RA II, L.P <u>(5)</u>      |
| Class A<br>Common<br>Stock |  |  |  | 65,824  | I |  | Spouse's<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates<br>IV. <u>(5)</u>              |
| Class A<br>Common<br>Stock |  |  |  | 1,975   | I |  | spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Management,<br>Inc. ("RMI").<br><u>(5)</u> |
| Class A<br>Common<br>Stock |  |  |  | 192,662 | I |  | spouse serves<br>as Trustee of<br>a Trust for the<br>benefit of<br>Roger F.<br>Rankin <u>(5)</u>                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Price<br>Derivati<br>Security<br>(Instr. 5) |
|---|--|---|---|--------------------------------------|-------------------------------|--|---|--|
|---|--|---|---|--------------------------------------|-------------------------------|--|---|--|

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| Derivative Security  |     | Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |           | Date Exercisable | Expiration Date | Title                | Amount or Number of Shares |
|----------------------|-----|---|-----------|------------------|-----------------|----------------------|----------------------------|
|                      |     | Code  | V (A) (D) |                  |                 |                      |                            |
| Class B Common Stock | (6) |   |           | (6)              | (6)             | Class A Common Stock | 96,861                     |
| Class B Common Stock | (6) |   |           | (6)              | (6)             | Class A Common Stock | 29,986                     |
| Class B Common Stock | (6) |   |           | (6)              | (6)             | Class A Common Stock | 3,123                      |
| Class B Common Stock | (6) |   |           | (6)              | (6)             | Class A Common Stock | 200                        |
| Class B Common Stock | (6) |   |           | (6)              | (6)             | Class A Common Stock | 20,119                     |
| Class B Common Stock | (6) |   |           | (6)              | (6)             | Class A Common Stock | 1,851                      |

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|                            |            |  |            |            |                            |        |
|----------------------------|------------|--|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 444    |
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 17,987 |
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 3,738  |
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 6,889  |
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 6,889  |
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 31     |
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 6,168  |
|                            | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> |                            | 4,693  |

Class B  
Common  
Stock

Class A  
Common  
Stock

Class B  
Common  
Stock

(6)

(6)

(6)

Class A  
Common  
Stock

104,286

Class B  
Common  
Stock

(6)

(6)

(6)

Class A  
Common  
Stock

1,975

Class B  
Common  
Stock

(6)

(6)

(6)

Class A  
Common  
Stock

193,760

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

RANKIN ALISON A  
5875 LANDERBROOK DRIVE  
MAYFIELD HEIGHTS, OH 44124

Member of a Group

## Signatures

/s/ Suzanne S. Taylor,  
attorney-in-fact

11/06/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares purchased pursuant to 10b5-1 plan



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- (2) held in trust u/a/d 8/15/2012
- (3) held in trust u/a/d 12/30/2015
- (4) 2018-Nov-5-Weighted Average - Share Price represents average price between \$64.83 and \$64.99.
- (5) Reporting Person disclaims beneficial ownership of all such shares.
- (6) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.