JOHST DAVID P Form 4

November 08, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

OMB APPROVAL

January 31, 2005

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Expires:

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * JOHST DAVID P | | | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer | | |
|---|---|--|---|---|--|--|
| | | | CHARLES RIVER LABORATORIES | (Check all applicable) | | |
| | | | INTERNATIONAL INC [CRL] | Director 10% Owner | | |
| (Last) 251 BALLAR | (Last) (First) (Middle) 51 BALLARDVALE STREET | | 3. Date of Earliest Transaction (Month/Day/Year) 11/07/2018 | _X Officer (give title Other (specification) Corporate Executive VP | | |
| | (Street) | | 4. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) | | |
| WILMINGTON, MA 01887 | | | | _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | |

(State)

(Zip)

(City)

1.Title of

Security

(Instr. 3)

| (State) | Tabl | le I - Non-D | erivative Securities Acqu | iired, Disposed of | , or Beneficiall | y Owned |
|---------------------|--------------------|--------------|---------------------------|--------------------|------------------|--------------|
| 2. Transaction Date | 2A. Deemed | 3. | 4. Securities Acquired | 5. Amount of | 6. | 7. Nature of |
| (Month/Day/Year) | Execution Date, if | Transactio | n(A) or Disposed of (D) | Securities | Ownership | Indirect |
| | any | Code | (Instr. 3, 4 and 5) | Beneficially | Form: Direct | Beneficial |
| | (Month/Day/Year) | (Instr. 8) | | Owned | (D) or | Ownership |
| | | | | Following | Indirect (I) | (Instr. 4) |
| | | | (A) | Reported | (Instr. 4) | |

| (, | | (Month/Day/Year) | (Instr. 8) | | , | Owned Following | (D) or Indire | |
|-----------------|------------|------------------|------------|--------|------------------|--------------------|--|---------|
| | | | Code V | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | (Instr. |
| Common Stock | 11/07/2018 | | M | 23,356 | ` ′ | \$ 59.41 | 219,849 | D |
| Common Stock | 11/07/2018 | | S(1) | 23,356 | D | \$ 136 | 196,493 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: JOHST DAVID P - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | | | Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--|-----|--------|-------------------------------------|--------------------|---|-------------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Options (Right to Buy) | \$ 59.41 | 11/07/2018 | | M | | 23,356 | 02/28/2015 | 02/28/2021 | Common Stock | 23,356 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

JOHST DAVID P 251 BALLARDVALE STREET WILMINGTON, MA 01887

Corporate Executive VP

Signatures

Reporting Person

/s/ David P.
Johst

**Signature of Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale occurred pursuant to a 10b5-1 Trading Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2