

NEITHERCUT DAVID J  
Form 4  
November 13, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
NEITHERCUT DAVID J

(Last) (First) (Middle)

TWO NORTH RIVERSIDE  
PLAZA, SUITE 400

(Street)

CHICAGO, IL 60606

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

EQUITY RESIDENTIAL [EQR]

3. Date of Earliest Transaction  
(Month/Day/Year)

11/09/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares Of Beneficial Interest	11/09/2018		M	20,000 A	\$ 19.67 22,874 <sup>(1)</sup>	I	Family Limited Partnership
Common Shares Of Beneficial Interest	11/09/2018		S	20,000 D	\$ 67.5 2,874 <sup>(1)</sup>	I	Family Limited Partnership
Common Shares Of Beneficial Interest	11/12/2018		M	24,562 A	\$ 19.67 27,436 <sup>(1)</sup>	I	Family Limited Partnership

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Common Shares Of Beneficial Interest	11/12/2018	S	11,985	D	\$ 68	15,451 <sup>(1)</sup>	I	Family Limited Partnership
Common Shares Of Beneficial Interest	11/12/2018	S	1,200	D	\$ 68.01	14,251 <sup>(1)</sup>	I	Family Limited Partnership
Common Shares Of Beneficial Interest	11/12/2018	S	10,559	D	\$ 68.07	3,692 <sup>(1)</sup>	I	Family Limited Partnership
Common Shares Of Beneficial Interest	11/12/2018	S	818	D	\$ 68.08	2,874 <sup>(1)</sup>	I	Family Limited Partnership
Common Shares Of Beneficial Interest						3,341 <sup>(2)</sup>	I	401(k) Plan
Common Shares Of Beneficial Interest						105,102 <sup>(3)</sup>	I	Trust I

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares of Underlying Security
Non-qualified Stock Option (Right to Buy)	\$ 19.67	11/09/2018		M	20,000	<sup>(4)</sup> 02/06/2019	Common Shares Of Beneficial	20,000

								Interest
Non-qualified								Common
Stock Option	\$ 19.67	11/12/2018	M	24,562	(4)	02/06/2019	24,	Shares Of
(Right to Buy)								Beneficial
								Interest

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
NEITHERCUT DAVID J TWO NORTH RIVERSIDE PLAZA, SUITE 400 CHICAGO, IL 60606	X		Chief Executive Officer	

## Signatures

s/ By: Scott J. Fenster,  
Attorney-in-fact 11/13/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents shares beneficially owned by a family limited partnership, of which the reporting person is the general partner.

(2) Represents shares acquired through profit sharing contributions and dividend reinvestment activity in the reporting person's account with the Equity Residential Advantage 401(k) Retirement Savings Plan, a plan qualified under Section 401(k) of the Internal Revenue Code of 1986, as amended. Such shares represent acquisitions through October 15, 2018.

(3) Represents shares beneficially owned by a trust for the benefit of the reporting person's wife. The reporting person is the sole trustee of this trust and, as such, may be deemed the beneficial owner of these shares.

(4) Represents options which vested in approximately three equal installments on February 6, 2010, February 6, 2011 and February 6, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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