

BUTLER HELEN RANKIN
Form 4
November 21, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
BUTLER HELEN RANKIN

2. Issuer Name **and** Ticker or Trading
Symbol
HYSTER-YALE MATERIALS
HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE,
SUITE 300

3. Date of Earliest Transaction
(Month/Day/Year)
11/20/2018

____ Director ____ 10% Owner
____ Officer (give title ____X____ Other (specify
below) below)
Member of a Group

(Street)
MAYFIELD HEIGHTS, OH 44124

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/20/2018		P	200 ⁽¹⁾ A \$ 64.1531	92,285	I	held by Trust for the benefit of Reporting Person
Class A Common Stock	11/20/2018		P	2 ⁽¹⁾ A \$ 63.99 ⁽²⁾	368	I	Reporting person's proportionate interest in shares held by Rankin Associates VI

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Class A Common Stock	11/20/2018	P	2 <u>(1)</u>	A	\$ 63.99 <u>(2)</u>	438	I	Spouse's proportionate interest in Rankin Associates VI
Class A Common Stock	11/20/2018	P	2 <u>(1)</u>	A	\$ 63.99 <u>(2)</u>	437	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/20/2018	P	2 <u>(1)</u>	A	\$ 63.99 <u>(2)</u>	437	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/20/2018	P	1 <u>(1)</u>	A	\$ 64.99	369	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						677	I	Child's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock						8,416	I	child's proportionate limited partnership interests in shares held by Rankin Associates II, L.P <u>(3)</u>
Class A Common Stock						4,513	I	held in Trust for the benefit of Reporting Person's minor child <u>(3)</u>
Class A Common						677	I	Child's proportionate

Stock			partnership interest shares held by AMR Associates LP
Class A Common Stock	8,572	I	child's proportionate limited partnership interests in shares held by Rankin Associates II, L.P ⁽³⁾
Class A Common Stock	4,357	I	held in trust for the benefit of Reporting Person's minor child ⁽³⁾
Class A Common Stock	5,524	I	proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock	49,811	I	proportionate limited partnership interest in shares held by Rankin Associates I, L.P.
Class A Common Stock	11,750	I	proportionate limited partnership interests in shares held by Rankin Associates II, L.P
Class A Common Stock	32,369	I	proportionate limited partnership interests in shares held by Rankin Associates

Class A Common Stock	78	I	IV, L.P. proportionate limited partnership interests in shares held by Rankin Associates V, L.P.
Class A Common Stock	2,800	I	Individual Retirement Account for the benefit of the Reporting Person's spouse ⁽³⁾
Class A Common Stock	7,839	I	spouse's proportionate limited partnership interests in shares held by Rankin Associates II, LP ⁽³⁾
Class A Common Stock	26,313	I	spouse serves as Trustee of the J.C. Butler, Jr. Revocable Trust. ⁽³⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
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(Instr. 3,
4, and 5)

				Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Code	V	(A)	(D)				
Class B Common Stock	(4)			(4)	(4)	Class A Common Stock	790
Class B Common Stock	(4)			(4)	(4)	Class A Common Stock	8,416
Class B Common Stock	(4)			(4)	(4)	Class A Common Stock	3,683
Class B Common Stock	(4)			(4)	(4)	Class A Common Stock	790
Class B Common Stock	(4)			(4)	(4)	Class A Common Stock	8,572
Class B Common Stock	(4)			(4)	(4)	Class A Common Stock	3,527
	(4)			(4)	(4)		6,435

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Class B Common Stock				Class A Common Stock	
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock 89,105
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock 11,750
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock 51,283
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock 58,586
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock 2,800
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock 7,839

Class B				Class A	
Common	(4)	(4)	(4)	Common	17,262
Stock				Stock	

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
BUTLER HELEN RANKIN 5875 LANDERBROOK DRIVE, SUITE 300 MAYFIELD HEIGHTS, OH 44124	Member of a Group

Signatures

/s/ Suzanne S. Taylor, attorney-in-fact	11/21/2018
__Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Nov-20-Weighted Average - Share Price represents average price between \$63.79 and \$64.07.
- (3) Reporting Person disclaims beneficial ownership of all such shares.
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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