

Clark James David  
Form 3  
November 21, 2018

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â Clark James David		(Month/Day/Year)	CVS HEALTH Corp [CVS]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
		11/19/2018		
ONE CVS DRIVE			(Check all applicable)	
	(Street)		<input type="checkbox"/> Director	<input type="checkbox"/> 10% Owner
WOONSOCKET, Â RI Â 02895			<input checked="" type="checkbox"/> Officer	<input type="checkbox"/> Other
(City)	(State)	(Zip)	(give title below)	(specify below)
			SVP, Cont & Chief Acct Officer	
			6. Individual or Joint/Group Filing(Check Applicable Line)	
			<input checked="" type="checkbox"/> Form filed by One Reporting Person	
			<input type="checkbox"/> Form filed by More than One Reporting Person	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	8,040.2554	D	Â
Common Stock (restricted)	858 <sup>(1)</sup>	D	Â
Common Stock (restricted)	537 <sup>(2)</sup>	D	Â
Common Stock (restricted)	831 <sup>(3)</sup>	D	Â
Common Stock (restricted)	2,214 <sup>(4)</sup>	D	Â
Common Stock (restricted)	274 <sup>(5)</sup>	D	Â
Common Stock (restricted)	2,009 <sup>(6)</sup>	D	Â
Common Stock (restricted)	1,057 <sup>(7)</sup>	D	Â
Common Stock (restricted)	790 <sup>(8)</sup>	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Stock Option	04/02/2013 <sup>(9)</sup>	04/02/2019	Common Stock	1,664	\$ 45.07	D	Â
Stock Option	04/01/2014 <sup>(10)</sup>	04/01/2020	Common Stock	3,030	\$ 54.53	D	Â
Stock Option	04/01/2015 <sup>(11)</sup>	04/01/2021	Common Stock	5,350	\$ 74.29	D	Â
Stock Option	04/01/2016 <sup>(12)</sup>	04/01/2022	Common Stock	6,059	\$ 102.26	D	Â
Stock Option	04/01/2017 <sup>(13)</sup>	04/01/2023	Common Stock	7,044	\$ 104.82	D	Â
Stock Option	04/03/2018 <sup>(14)</sup>	04/03/2024	Common Stock	9,050	\$ 78.05	D	Â
Stock Option	04/01/2019 <sup>(15)</sup>	04/01/2025	Common Stock	7,513	\$ 62.21	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer		Other
Clark James David ONE CVS DRIVE WOONSOCKET, RI 02895	Â	Â	Â	SVP, Cont & Chief Acct Officer	Â

## Signatures

/s/ James D. Clark  
11/20/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Consists of Restricted Stock Units awarded pursuant to Issuer's 2010 Incentive Compensation Plan. Restrictions lapse 4/1/2020.
- (2) Consists of Restricted Stock Units (RSUs) awarded pursuant to Issuer's Performance-Based Restricted Stock Unit Plan, a sub-plan under its 2010 Incentive Compensation Plan. Restrictions on remaining RSUs lapse in two equal installments on 2/28/2019 and 2/28/2020.
- (3) Consists of Restricted Stock Units awarded pursuant to Issuer's 2010 Incentive Compensation Plan. Restrictions lapse 4/1/2019.
- (4) Consists of Restricted Stock Units awarded pursuant to Issuer's 2017 Incentive Compensation Plan. Restrictions lapse 2/28/2021.
- (5) Consists of Restricted Stock Units (RSUs) awarded pursuant to Issuer's Performance-Based Restricted Stock Unit Plan, a sub-plan under its 2010 Incentive Compensation Plan. Restrictions on remaining RSUs lapse 2/28/2019.
- (6) Consists of Restricted Stock Units awarded pursuant to Issuer's 2017 Incentive Compensation Plan. Restrictions lapse 4/1/2022.
- (7) Consists of Restricted Stock Units awarded pursuant to Issuer's 2010 Incentive Compensation Plan. Restrictions lapse 4/3/2021.
- (8) Consists of Restricted Stock Units awarded pursuant to Issuer's Performance-Based Restricted Stock Unit Plan, a sub-plan under its 2017 Incentive Compensation Plan. Restrictions lapse in three equal installments, commencing 2/28/2019.
- (9) Remaining Options became exercisable in four equal annual installments beginning on 4/2/2013.
- (10) Remaining Options became exercisable in four equal annual installments beginning on 4/1/2014.
- (11) Remaining Options became exercisable in four equal annual installments beginning on 4/1/2015.
- (12) Options became exercisable in four equal annual installments beginning on 4/1/2016.
- (13) Options became exercisable in four equal annual installments beginning on 4/1/2017.
- (14) Options became exercisable in four equal annual installments beginning on 4/3/2018.
- (15) Options become exercisable in four equal annual installments, commencing 4/1/2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.