ROTHBLATT MARTINE A

Form 4

January 09, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * ROTHBLATT MARTINE A

2. Issuer Name and Ticker or Trading

Symbol

(Middle)

(Zin)

UNITED THERAPEUTICS Corp

[UTHR]

3. Date of Earliest Transaction

(Month/Day/Year) 01/07/2019

5. Relationship of Reporting Person(s) to Issuer

below)

(Check all applicable)

Chairman & CEO

10% Owner

Other (specify

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

(First) C/O UNITED THERAPEUTICS

(Street)

(State)

CORPORATION, 1040 SPRING

STREET

(City)

(Last)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

_X__ Director

X_ Officer (give title

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

SILVER SPRING, MD 20910

| (City) | (State) | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | |
|--------------------------------------|--------------------------------------|---|--------------|-------|--|-----------------------|-------|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | . Transaction Date 2A. Deemed Month/Day/Year) Execution Date, if any (Month/Day/Year) | | | 3. 4. Securities Acquire Transactioner Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) | | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 01/07/2019 | | M(1) | 7,055 | A | \$ 52.65 | 7,195 | D | |
| Common Stock | 01/07/2019 | | S <u>(1)</u> | 1,300 | D | \$ 112.5392 (2) | 5,895 | D | |
| Common Stock | 01/07/2019 | | S <u>(1)</u> | 4,875 | D | \$ 113.5097 (3) | 1,020 | D | |
| Common | 01/07/2019 | | S(1) | 880 | D | \$ | 140 | D | |

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| Stock | | | | | 114.0857 (4) | | | |
|-----------------|------------|--------------|-------|---|-----------------------|---------|---|--------------|
| Common Stock | 01/08/2019 | M(1) | 7,054 | A | \$ 52.65 | 7,194 | D | |
| Common Stock | 01/08/2019 | S <u>(1)</u> | 3,300 | D | \$ 112.8021 (5) | 3,894 | D | |
| Common Stock | 01/08/2019 | S <u>(1)</u> | 3,654 | D | \$ 113.433 (6) | 240 | D | |
| Common Stock | 01/08/2019 | S <u>(1)</u> | 100 | D | \$ 114.89 | 140 | D | |
| Common Stock | | | | | | 166 | I | By Spouse |
| Common Stock | | | | | | 683,489 | Ι | By Trusts |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|---|---|-------|--|--------------------|---|--|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Options | \$ 52.65 | 01/07/2019 | | M(1) | | 7,055 | 12/31/2009 | 12/31/2019 | Common Stock | 7,055 |
| Stock Options | \$ 52.65 | 01/08/2019 | | M(1) | | 7,054 | 12/31/2009 | 12/31/2019 | Common Stock | 7,054 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners 2

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Director 10% Owner Officer Other

ROTHBLATT MARTINE A C/O UNITED THERAPEUTICS CORPORATION 1040 SPRING STREET SILVER SPRING, MD 20910

X

Chairman & CEO

Signatures

/s/ John S. Hess, Jr. under Power of Attorney

01/08/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This exercise of stock options and corresponding sale of shares was pursuant to a Rule 10b5-1 trading plan (the "plan") entered into by (1) the reporting person. The plan is designed to exercise and sell up to 148,138 stock options (which expire at the end of 2019) during the month of January 2019, in equal tranches of approximately 7,055 shares per day assuming certain conditions are met.
- This transaction was executed in multiple trades at prices ranging from \$111.94 to \$112.80. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- This transaction was executed in multiple trades at prices ranging from \$112.96 to \$113.95. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- This transaction was executed in multiple trades at prices ranging from \$113.98 to \$114.26. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- This transaction was executed in multiple trades at prices ranging from \$112.15 to \$113.11. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- This transaction was executed in multiple trades at prices ranging from \$113.15 to \$114.06. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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