Smith David Joseph Form 3/A February 01, 2019

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Smith David Joseph

625 LIBERTY AVENUE,

(Last)

**SUITE 1700** 

(First)

(Middle)

Statement

(Month/Day/Year)

11/12/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

EOT Corp [EOT]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

11/15/2018

(Check all applicable)

SVP, Human Resources

(Street)

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

PITTSBURGH, Â PAÂ 15222

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned

(Instr. 4)

Ownership

Form:

Ownership (Instr. 5) Direct (D)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative Security:

Direct (D)

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Exercisable

**Expiration Title** Date

Amount or Number of Shares

Derivative Security

or Indirect (I)

(Instr. 5)

Restricted Stock Units (1)  $\hat{A}$  (2)  $\hat{A}$  (2) Common Stock 1,302 (2) (3) D  $\hat{A}$ 

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Smith David Joseph 625 LIBERTY AVENUE SUIT

625 LIBERTY AVENUE, SUITE 1700 SVP, Human Resources PITTSBURGH, PAÂ 15222

# **Signatures**

/s/ Patrick J. OMalley as Attorney-in-Fact for David J. Smith

02/01/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Form 3 amendment is being filed to correct the original Form 3 filed on November 15, 2018 to include the above-referenced Restricted Stock Unit award, which inadvertently was omitted from the original filing.
- (2) This Restricted Stock Unit award was granted on March 7, 2018 and vests in equal installments on the first and second anniversary of the grant date, subject to the conditions set forth in the award agreement.
- The Restricted Stock Units are payable in cash based on the closing price per share of the Company's common stock as of the business day immediately preceding the vesting date, provided that the Company retains the discretion to pay out the Restricted Stock Units in shares of the Company's common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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