

Smith David Ross
Form 4
February 26, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Smith David Ross

2. Issuer Name **and** Ticker or Trading
Symbol
CHARLES RIVER
LABORATORIES
INTERNATIONAL INC [CRL]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer (give title below) ____ Other (specify below)
Corporate Executive VP & CFO

(Last) (First) (Middle)

251 BALLARDVALE STREET

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
02/25/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

WILMINGTON, MA 01887

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	02/25/2019		M		4,365	A	\$ 88.05	24,827	D
Common Stock	02/25/2019		M		3,845	A	\$ 109.34	28,672	D
Common Stock	02/25/2019		S ⁽¹⁾		100	D	\$ 144.16	28,572	D
Common Stock	02/25/2019		S ⁽¹⁾		100	D	\$ 144.18	28,472	D
Common Stock	02/25/2019		S ⁽¹⁾		100	D	\$ 144.25	28,372	D

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Common Stock	02/25/2019	<u>S(1)</u>	100	D	\$ 144.31	28,272	D
Common Stock	02/25/2019	<u>S(1)</u>	100	D	\$ 144.33	28,172	D
Common Stock	02/25/2019	<u>S(1)</u>	200	D	\$ 144.37	27,972	D
Common Stock	02/25/2019	<u>S(1)</u>	100	D	\$ 144.39	27,872	D
Common Stock	02/25/2019	<u>S(1)</u>	100	D	\$ 144.42	27,772	D
Common Stock	02/25/2019	<u>S(1)</u>	100	D	\$ 144.46	27,672	D
Common Stock	02/25/2019	<u>S(1)</u>	400	D	\$ 144.47	27,272	D
Common Stock	02/25/2019	<u>S(1)</u>	200	D	\$ 144.49	27,072	D
Common Stock	02/25/2019	<u>S(1)</u>	150	D	\$ 144.51	26,922	D
Common Stock	02/25/2019	<u>S(1)</u>	100	D	\$ 144.52	26,822	D
Common Stock	02/25/2019	<u>S(1)</u>	159	D	\$ 144.53	26,663	D
Common Stock	02/25/2019	<u>S(1)</u>	194	D	\$ 144.54	26,469	D
Common Stock	02/25/2019	<u>S(1)</u>	301	D	\$ 144.56	26,168	D
Common Stock	02/25/2019	<u>S(1)</u>	300	D	\$ 144.6	25,868	D
Common Stock	02/25/2019	<u>S(1)</u>	100	D	\$ 144.62	25,768	D
Common Stock	02/25/2019	<u>S(1)</u>	100	D	\$ 144.63	25,668	D
Common Stock	02/25/2019	<u>S(1)</u>	100	D	\$ 144.66	25,568	D
Common Stock	02/25/2019	<u>S(1)</u>	200	D	\$ 144.69	25,368	D
Common Stock	02/25/2019	<u>S(1)</u>	100	D	\$ 144.705	25,268	D
Common Stock	02/25/2019	<u>S(1)</u>	200	D	\$ 144.74	25,068	D
	02/25/2019	<u>S(1)</u>	100	D	\$ 144.77	24,968	D

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Common
Stock

Common Stock	02/25/2019	S ⁽¹⁾	100	D	\$ 144.79	24,868	D
Common Stock	02/25/2019	S ⁽¹⁾	6	D	\$ 144.82	24,862	D
Common Stock	02/25/2019	S ⁽¹⁾	200	D	\$ 144.87	24,662	D
Common Stock	02/25/2019	S ⁽¹⁾	200	D	\$ 144.88	24,462	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Options (Right to Buy)	\$ 88.05	02/25/2019		M	4,365	02/24/2018 02/24/2022	Common Stock	4,365
Stock Options (Right to Buy)	\$ 109.34	02/25/2019		M	3,845	02/23/2019 02/23/2023	Common Stock	3,845

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Smith David Ross
251 BALLARDVALE STREET
WILMINGTON, MA 01887

Corporate Executive VP & CFO

Signatures

/s/ David R.
Smith

02/25/2019

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This sale occurred pursuant to a 10b5-1 Trading Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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