Edgar Filing: SYNCHRONOSS TECHNOLOGIES INC - Form 3

SYNCHRONOSS TECHNOLOGIES INC Form 3 March 28, 2017 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| 1. Name and Ad Person $\frac{*}{4}$ Frederick | - | orting | 2. Date of Event Requiring Statement (Month/Day/Year) | | 3. Issuer Name and Ticker or Trading Symbol SYNCHRONOSS TECHNOLOGIES INC [SNCR] | | | | | | | | |
|---|-----------------------------|---|---|--|--|----------------------------|---|--|---|---|--|--|--|
| (Last) | (First) | (Middle) | 03/24/2017 | 4. Relationship of Reporting Person(s) to Issuer | | | | 5. If Amendment, Date Original Filed(Month/Day/Year) | | | | | |
| 200 CROSSI | NG BLVD | | | | | | | | | 11 2 uj (1 cui) | | | |
| | | | (Check all applicable) | | | 6 | 6. Individual or Joint/Group | | | | | | |
| (Street) BRIDGEWATER, NJ 08807 | | | | | | | • | vner Fil _X) Per | Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| (City) | (State) | (Zip) | Tal | ble I - N | Non-Derivative Securities Beneficially Owned | | | | | | | | |
| 1.Title of Secur (Instr. 4) | Ben | 2. Amount of Securities Beneficially Owned (Instr. 4) | | Form: Direct or India (I) | OwnershipOwnershipForm:(Instr. 5)Direct (D)or Indirect | | | ect Beneficial | | | | | |
| Reminder: Repo owned directly of | | te line for ea | ch class of securities | s benefici | ally SI | EC 1473 | (7-02) | | | | | | |
| - | Person inform require | ation conta | oond to the collec ined in this form nd unless the for //B control numbe | are not m displa | ays a | | | | | | | | |
| Т | able II - Deri | vative Secur | ities Beneficially C | wned (e. | g., puts, calls, | warran | ts, optio | ns, conv | vertible s | securities) | | | |
| 1. Title of Deriv (Instr. 4) | rative Security | Expir | te Exercisable and ation Date _{Day/Year}) Expiration | Securitie | and Amount of es Underlying ve Security | Cor or I Prio Der | nversion Exercise ce of rivative curity | | of ative rity: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) | | | |

Amount or

Number of

Shares

Title

or Indirect

(Instr. 5)

(I)

Exercisable Date

OMB APPROVAL

Estimated average burden hours per

3235-0104

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Reporting Owners

| Reporting Owner Name / Addres | S | Relationships | | | | | | |
|--|----------|---------------|-------------------------|-------|--|--|--|--|
| | | 10% Owner | Officer | Other | | | | |
| Frederick John W. 200 CROSSING BLVD. BRIDGEWATER, NJ 088 | Â 07 | Â | Chief Financial Officer | Â | | | | |
| Signatures | | | | | | | | |
| John Frederick 03/ | /28/2017 | | | | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | | | | |

Explanation of Responses:

No securities are beneficially owned

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.