Edgar Filing: PRUDENTIAL FINANCIAL INC - Form 4

| PRUDENTIAL F Form 4 April 04, 2006 | INANCIAL | INC | | | | | | | | | |
|---|----------------------------|---------------------------|--|---|---|--|---|---|--------------|--|--|
| FORM 4 | | | ~~~~~ | | | | | | OMB APPROVAL | | |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549 | | | | | | COMMISSIO | N OMB Number: | 3235-0287 | | | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b). | STATEM Filed pur | suant to S a) of the F | CHAN ection 1 Public U | NGES IN SECUR (6(a) of th (tility Hole | BENEF RITIES le Securit ding Cor | ICIAL O | WNERSHIP OF nge Act of 1934, of 1935 or Section 940 | Estimated burden hou response | urs per | | |
| (Print or Type Respon | nses) | | | | | | | | | | |
| : | | | 2. Issuer Name and Ticker or Trading Symbol PRUDENTIAL FINANCIAL INC [(PRU)] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| (M | | | 3. Date of Earliest Transaction (Month/Day/Year) 04/01/2006 | | | | X_ Director 10% Owner Officer (give title Other (specify below) below) | | | | |
| | | | Amendment, Date Original (Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | | |
| NEWARK, NJ 0 | 71023777 | | | | | | Person | More than One K | eporung | | |
| (City) (| State) | (Zip) | Tab | le I - Non-I | Derivative | Securities A | cquired, Disposed | of, or Beneficia | lly Owned | | |
| 1.Title of Security (Instr. 3) 2. Transaction Date 2A. Deemed Execution Date, if any (Month/Day/Year) | | Date, if | 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| Reminder: Report on | a separate line | for each cla | ass of sec | urities benef | icially own | ned directly of | or indirectly. | | | | |

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | f Transactionof Code Der r) (Instr. 8) Sect Acq (A) Disp of (I (Instr. 8) | | 5. Number 6. Date Exercisable and of Expiration Date Derivative (Month/Day/Year) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price of Derivativ Security (Instr. 5) | |
|---|---|---|---|--|-----|---|---------------------|---|-----------------|--|---------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Notional Shares | <u>(1)</u> | 04/01/2006 | | А | 288 | | (2) | (2) | Common Stock | 288 | \$ 75.8 |

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Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | | |
|--|-----------|---------------|---------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| UNRUH JAMES A C/O PRUDENTIAL FINANCIAL, INC. 751 BROAD STREET, 4TH FLOOR NEWARK, NJ 071023777 | Х | | | | | | | |
| Signatures | | | | | | | | |
| By: /s/ Sue J. Nam, Attorney-in-fact | 04/04/200 | 6 | | | | | | |

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Notional Shares convert to common stock on a 1 to 1 basis.
- (2) Notional shares are payable in common stock on a date following termination of service on the Board of Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.