

PRUDENTIAL FINANCIAL INC

Form 3

December 17, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

PRUDENTIAL FINANCIAL INC

(Last) (First) (Middle)

751 BROAD ST

(Street)

NEWARK, NJ 07102

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
12/13/2018

3. Issuer Name and Ticker or Trading Symbol

TORTOISE PIPELINE & ENERGY FUND, INC. [TTP]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director ____X____ 10% Owner
____ Officer ____ Other
(give title below) (specify below)

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

____ Form filed by One Reporting Person

____X____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Series B Mandatory Redeemable Preferred Stock

640,000

I (1)

By The Prudential Insurance Company of America, a wholly-owned subsidiary of the Reporting Person

3.97% Senior Notes, Series H, due December 13, 2024

\$ 5,000,000

I (2)

By The Prudential Insurance Company of America, a wholly-owned subsidiary of the Reporting Person

3.97% Senior Notes, Series H, due December 13, 2024

\$ 1,000,000

I

By Prudential Arizona Reinsurance Term Company, a wholly-owned subsidiary of the Reporting Person

SEC 1473 (7-02)

