

WMS INDUSTRIES INC /DE/
Form 3
July 09, 2008

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---|---|---|---|--|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Pacey Larry J.</p> <p>(Last) (First) (Middle)</p> <p>C/O WMS INDUSTRIES INC., Â 800 S. NORTHPOINT BLVD.</p> <p>(Street)</p> <p>WAUKEGAN, Â IL Â 60085</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>07/01/2008</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>WMS INDUSTRIES INC /DE/ [WMS]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input checked="" type="checkbox"/> Other (specify below)</p> <p>Exec. VP Global Products / Chief Innovation Officer</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|---|---|---|--|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 31,782 ⁽¹⁾ | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|--------------|------------------|-----------------|--------------|----------------------------|----------|---------------------------------------|---|
| Stock Option | Â (2) | 05/09/2011 | Common Stock | 45,000 | \$ 13.73 | D | Â |
| Stock Option | 05/10/2005 | 05/10/2014 | Common Stock | 3,750 | \$ 18.98 | D | Â |
| Stock Option | Â (3) | 01/07/2015 | Common Stock | 14,326 | \$ 21.67 | D | Â |
| Stock Option | Â (4) | 06/16/2015 | Common Stock | 14,496 | \$ 22.6 | D | Â |
| Stock Option | Â (5) | 08/14/2016 | Common Stock | 23,542 | \$ 16.95 | D | Â |
| Stock Option | Â (6) | 09/19/2014 | Common Stock | 14,250 | \$ 30.55 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------------------|--------------------------|
| | Director | 10% Owner | Officer | Other |
| Pacey Larry J. C/O WMS INDUSTRIES INC. 800 S. NORTHPOINT BLVD. WAUKEGAN, IL 60085 | Â | Â | Â Exec. VP Global Products | Chief Innovation Officer |

Signatures

/s/ Larry J. Pacey 07/09/2008

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 29,089 shares of restricted stock, restrictions on which will lapse over time: (i) restrictions on 4,500 shares will lapse on each of (1) 6/16/2009 and 6/16/2010; (ii) restrictions on 1,272 shares will lapse on each of 9/19/2008, 9/19/2009 and 9/19/2010 and on 1,273 shares on 9/19/2011 and (iii) restrictions on 5,000 shares will lapse on each of 9/20/2008, 9/20/2009 and 9/20/2010.
- (2) Stock Option Grant: 11,250 shares became exercisable on each of 5/9/2002, 5/9/2003, 5/9/2004 and 5/9/2005.
 - (3) Stock Option Grant: 4,775 shares became exercisable on each of 1/7/2006 and 1/7/2007 and 4,776 shares became exercisable on 1/7/2008.
 - (4) Stock Option Grant: 4,832 shares became exercisable on each of 6/16/2006, 6/16/2007 and 6/16/2008.
 - (5) Stock Option Grant: 7,847 shares became exercisable on 8/14/2007, 7,847 will be exercisable on 8/14/2008 and 7,848 will be exercisable on 8/14/2009.
 - (6) Stock Option Grant: 4,750 shares will be exercisable on each of 9/19/2008, 9/19/2009 and 9/19/2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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